

PATENT COOPERATION TREATY

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NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents
United States Patent and Trademark
Office
Box PCT
Washington, D.C.20231
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 04 May 2000 (04.05.00)	
International application No. PCT/US99/16199	Applicant's or agent's file reference 175906
International filing date (day/month/year) 15 July 1999 (15.07.99)	Priority date (day/month/year) 17 July 1998 (17.07.98)
Applicant HO, David, K. et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

17 February 2000 (17.02.00)

☐ in a notice effecting later election filed with the International Bureau on:2. The election ☒ was☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Kiwa Mpay Telephone No.: (41-22) 338.83.38
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PATENT COOPERATION TREATY

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

REC'D 02 NOV 2000

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Applicant's or agent's file reference 175906		FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US99/16199	International filing date (day/month/year) 15/07/1999	Priority date (day/month/year) 17/07/1998	
International Patent Classification (IPC) or national classification and IPC A61K47/48			
Applicant THE UNITED STATES OF AMERICA, represented by the S			
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 3 sheets.</p>			
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none">I <input checked="" type="checkbox"/> Basis of the reportII <input type="checkbox"/> PriorityIII <input checked="" type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicabilityIV <input type="checkbox"/> Lack of unity of inventionV <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statementVI <input type="checkbox"/> Certain documents citedVII <input type="checkbox"/> Certain defects in the international applicationVIII <input checked="" type="checkbox"/> Certain observations on the international application			
Date of submission of the demand 17/02/2000		Date of completion of this report 31.10.00	
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465		Authorized officer Taylor, G.M. Telephone No. +49 89 2399 8406	



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US99/16199

I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

Description, pages:

1-43 as originally filed

Claims, No.:

1-41, 53-62 as originally filed

42-52 as received on 11/10/2000 with letter of 11/10/2000

Drawings, sheets:

1/1 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application.
- ☒ claims Nos. 59-62.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US99/16199

because:

- ☒ the said international application, or the said claims Nos. 59-62 relate to the following subject matter which does not require an international preliminary examination (*specify*):

see separate sheet

- ☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

- ☐ no international search report has been established for the said claims Nos. .

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes: Claims 42-62
	No: Claims
Inventive step (IS)	Yes: Claims 42-62
	No: Claims
Industrial applicability (IA)	Yes: Claims 42-58
	No: Claims

2. Citations and explanations

see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

se separate sheet

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/US99/16199

Section III

1. Claims 59-62 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of this claim (Article 34(4)(a)(i) PCT).
2. Upon invitation to pay additional fees following objections of lack of unity of invention (Rule 13 PCT), the Applicant opted to restrict the examination to the subject-matter of group 3, i.e. claims 42-62. This opinion is consequently restricted to such subject-matter.

Section V

3. Claims 42-62 would appear to meet the requirements of Art. 33(2)-(3) PCT because no cited document discloses or suggests the use of a 17-demethoxy-17-amino-geldanamycin derivative, as disclosed in claim 42, having a polypeptide or protein which selectively binds to the surface of a mammalian cell.

However, this applies only to the extent to which these claims are clear (cf. Section VIII below).

4. Claims 59-62 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims.
- 4.1 Claims 42-58 would appear to meet the requirements of Article 34(4)(a)(i) PCT.

Section VIII

5. Claims 42, 45, 47, 58, 59, 61 and 62 do not meet the requirements of Art. 6 PCT.
- 5.1 Claims 42, 45, 47, 58, 59, 61 and 62 do not meet the requirements of Art. 6 PCT because they define their subject-matter in terms of a result to be achieved, rather than in terms of technical features, as required by Rule 6.3(a) PCT.

Thus, the following expressions/terms are considered to be a result to be

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/US99/16199

achieved:

"... polypeptide or protein that selectively binds to the surface of a mammalian cell" (claims 42);

"... wherein said protein or polypeptide binds to an antigen" (claims 45, 61);

"... said antibody is optionally humanized" (claim 47);

"... wherein said compound is internalized into said cell of a mammal" (claims 58);

"... wherein said polypeptide or protein selectively binds to the surface of a cell" (claim 59);

"... wherein said compound is internalized by said cancer cell" (claims 62).

It is noted that these functional features correspond to the underlying technical problem (i.e. "Selectively Targeted Geldamanycin", cf. description, p.16, line 23) and - since they define the subject-matter in terms of the problem to be solved - are therefore unacceptable within the meaning of Rule 6.3(a) PCT.

- 5.3 Claim 47 is furthermore unclear because it is not understood what is meant by a cell being "humanized".

The chemical structure is a complex polycyclic molecule, possibly a steroid derivative, featuring several functional groups and numbered positions. Key features include:

- Substituents:** CH_3 , OCH_3 , NH_2 , N-R_3 , R_2 , R_4 , and PYN .
- Numbered Positions:** 11, 17, 19, 4, and 5.
- Functional Groups:** A primary amine (NH_2), a carbonyl group (C=O), and a pyridine ring (PYN).
- Other Labels:** R_2 is attached to a double bond at position 11. R_4 is attached to a double bond at position 19. N-R_3 is attached to a nitrogen atom.

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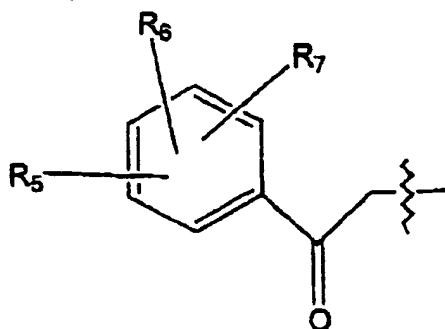
P is a polypeptide or a protein that selectively binds to the surface of a mammalian cell,

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R_3 is selected from the group consisting of hydrogen and a group of the formula

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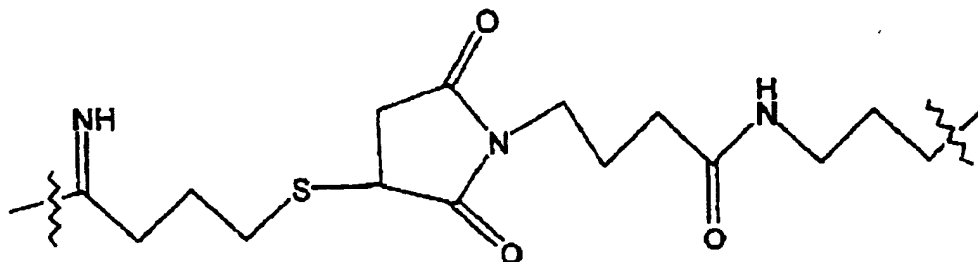
wherein R_5 , R_6 , and R_7 are each independently selected from the group consisting of hydrogen, a halo, an azido, a nitro, a C_1 - C_6 alkyl, a C_1 - C_6 alkoxy, an aryl, a cyano, and an $NR_{10}R_{11}R_{12}$,

5 wherein R_{10} , R_{11} , and R_{12} are each independently selected from the group consisting of hydrogen and a C_1 - C_3 alkyl,

R_8 is selected from the group consisting of hydrogen, a halo, a C_1 - C_6 alkylamino, and a C_1 - C_6 dialkylamino, and the bond between the carbons at positions 4 and 5 can be a
10 single bond or a double bond.

43. The compound of claim 42, wherein P comprises a lysine and Y is bonded to P via said lysine.

15 44. The compound of claim 42 or 43, wherein Y is



20 45. The compound of any of claims 42-44, wherein said protein or polypeptide binds to an antigen.

46. The compound of claim 45, wherein said protein or polypeptide is an antibody, or an antigenically reactive fragment thereof.

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47. The compound of claim 46, wherein said antibody is humanized.

48. The compound of claim 46 or 47, wherein said protein
5 is herceptin or e21.

49. The compound of claim 46 or 47, wherein said
antibody is selected from the group consisting of huB4, BR96,
and Zenapax.

10

50. The compound of claim 46 or 47, wherein said
antibody is C225.

51. The compound of claim 46 or 47, wherein said protein
15 is selected from the group comprising a diabody, an Fab, an
Fab', a single-chain antibody, and a single-chain Fab.

52. The compound of claim 42-45, wherein said
polypeptide or protein is secreted by a cell.

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PATENT COOPERATION TREATY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 175906	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/US 99/ 16199	International filing date (day/month/year) 15/07/1999	(Earliest) Priority Date (day/month/year) 17/07/1998
Applicant THE UNITED STATES OF AMERICA, represented by the S		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 5 sheets.



It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.



the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :



contained in the international application in written form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☒ **Unity of invention is lacking** (see Box II).

4. With regard to the title,



the text is approved as submitted by the applicant.



the text has been established by this Authority to read as follows:

WATER-SOLUBLE DRUGS AND METHODS FOR THEIR PRODUCTION

5. With regard to the abstract,



the text is approved as submitted by the applicant.



the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.



as suggested by the applicant.



because the applicant failed to suggest a figure.



because this figure better characterizes the invention.



None of the figures.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 99/16199

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-33

Water-soluble compounds of water-insoluble drugs containing the 4-thio-maleimido moiety.

2. Claims: 34-41

Water-soluble derivatives of geldanamycin having an ionic moiety bound to the carbon at position 17 via a nitrogen atom.

3. Claims: 42-62

Water-soluble derivatives of geldanamycin having a polypeptide or protein bound to the carbon at position 17 via a spacer and a nitrogen atom, the polypeptide or protein being one which selectively binds to the surface of a mammalian cell.

INTERNATIONAL SEARCH REPORT

International Application No

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A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K47/48 A61P35/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 610 140 A (GOODFELLOW VAL S ET AL) 11 March 1997 (1997-03-11) abstract column 4 - column 12, see especially column 10, lines 54 - 62, column 11, line 66 - column 12, line 2 and compounds 1-4 examples I-VI claims 1-10 --- -/--	1-33



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

G document member of the same patent family

Date of the actual completion of the international search

8 February 2000

Date of mailing of the international search report

11. 8. 02. 00

Name and mailing address of the ISA

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Authorized officer

Taylor, G.M.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/16199

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 087 616 A (MYERS ANDRE E ET AL) 11 February 1992 (1992-02-11) abstract column 9, line 23 -column 10, line 17 compounds II, VIII and IX column 12, line 3 - line 10 column 13, line 9 - line 12 column 13, line 38 - line 44 examples 1,2 column 19, line 50 - line 55 claims 1-10 ---	1-33
X	WO 94 06750 A (MERCK & CO INC ;MERCK FROSST CANADA INC (CA); TYLER PETER C (NZ);) 31 March 1994 (1994-03-31) abstract page 5, line 5 -page 15, line 12 page 15, line 30 -page 16, line 9 claims 6-15 ---	1-4,7,8, 14-33
X	US 5 606 030 A (EMINI EMILIO A ET AL) 25 February 1997 (1997-02-25) abstract column 2, line 57 -column 4, line 11 column 7, line 25 -column 8, line 24 column 10, line 5 - line 16 column 13, line 5 - line 11 table I claims 1,2 ---	1-3,5,7, 8,14,15
A	US 4 261 989 A (SASAKI KAZUYA ET AL) 14 April 1981 (1981-04-14) cited in the application abstract column 1, line 38 -column 2, line 41 column 4, line 20 - line 62 claims 1-14 ---	34-41
A	US 5 387 584 A (SCHNUR RODNEY C) 7 February 1995 (1995-02-07) cited in the application abstract column 1, line 20 -column 2, line 42 claims 1-9 ---	34-41
A	WO 96 40251 A (PANASIK NICHOLAS JR ;SHEKHANI MOHAMMED SALEH (US); FIRCA JOSEPH R) 19 December 1996 (1996-12-19) abstract claims 1-50 -----	42-62

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/16199

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/16199

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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : A61K 47/48, A61P 35/00	A3	(11) International Publication Number: WO 00/03737 (43) International Publication Date: 27 January 2000 (27.01.00)
(21) International Application Number: PCT/US99/16199 (22) International Filing Date: 15 July 1999 (15.07.99) (30) Priority Data: 60/093,284 17 July 1998 (17.07.98) US (71) Applicant (for all designated States except US): THE UNITED STATES OF AMERICA, represented by the SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES [US/US]; National Institutes of Health, Office of Technology Transfer, Suite 325, 6011 Executive Boulevard, Rockville, MD 20852 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): HO, David, K. [US/US]; 207 Burlington Avenue, Walkersville, MD 21793 (US). MANDLER, Raya [IL/US]; 5336 Pooks Hill Road, Bethesda, MD 20814 (US). ALVARADO-LINDNER, Ada, Belinda [US/US]; 105 Apple Creek Road, Frederick, MD 21702 (US). UPADHYAY, Kaye, B., Dillah [GB/US]; 10401 Grosvenor Plaza #521, Rockville, MD 20852 (US). NEWMAN, David, J. [US/US]; 664 Crest Wood Road, Wayne, PA 19087 (US).		(74) Agents: LARCHER, Carol et al.; Leydig, Voit & Mayer, Ltd., Two Prudential Plaza, Suite 4900, 180 North Stetson, Chicago, IL 60601-6780 (US). (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> (88) Date of publication of the international search report: 20 April 2000 (20.04.00)
(54) Title: WATER-SOLUBLE DRUGS AND METHODS FOR THEIR PRODUCTION		
(57) Abstract <p>the present invention provides water-soluble drugs, in particular, water-soluble analogues of geldanamycin, and compositions comprising the same. This invention also provides a method of rendering water-insoluble drugs soluble in water through derivatization with a bifunctional linking molecule and subsequent conjugation to a polar moiety through a thio ether. The present invention further provides a method of treating cancer in a mammal.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

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AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WATER-SOLUBLE DRUGS AND METHODS FOR THEIR PRODUCTION

TECHNICAL FIELD OF THE INVENTION

5 The present invention relates to water-soluble drugs, in particular water-soluble analogues of geldanamycin, and compositions comprising the same. This invention also relates to a method of rendering water-insoluble drugs soluble in water and a method of treating
10 cancer.

BACKGROUND OF THE INVENTION

A common problem associated with drugs intended for parenteral, and especially intravenous, administration
15 has been the solubilization of a slightly soluble or water-insoluble active ingredient (Sweetna et al., *PDA J. Pharm. Sci. & Tech.*, 50, 330 (1995)). As a result, many drugs of potential benefit in cancer chemotherapy and other areas of therapeutics have been abandoned. Methods
20 have been developed whereby drugs can be enveloped in micelles and placed into aqueous solutions (Hawthorne et al., *J. Neurooncol.*, 33, 53-58 (1997)). Likewise, cosolvents and complexing agents allow some drugs to be dissolved in water (Badwan et al., U.S. Patent No.
25 5,646,131). The use of these reagents, however, can be complex and have negative attributes due to the additional reagent required to dissolve the active ingredient (Sweetna et al. (1995), *supra*). Prodrugs also have been developed by attaching groups, such as
30 phosphates and other conjugates, to increase their solubility and enhance their performance (Schacter et al., *Cancer Chemother. Pharmacol.*, 34, S58 (1993); Kingston et al., U.S. Patent No. 5,278,324).

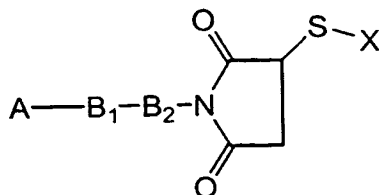
One water-insoluble drug of potential beneficial use in cancer therapy is geldanamycin. The drug is an ansamycin isolated from the broth of *Streptomyces hygroscopicus* var. *geldanus* (DeBoer et al., *Antibiot.*, 23, 442 (1970)). It has been found to exert its antiproliferating and anticancer activities by binding with the heat shock protein 90 (Hsp90) chaperone and, in turn, altering the translocation properties of the tumor suppressor protein p53 (Stebbins et al., *Cell*, 239 (1997); Sepehrnia et al., *J. Biol. Chem.*, 271, 15,084 (1996); Dasgupta et al., *Experimental Cell Research*, 29, 237 (1997)). Despite its therapeutic potential as an anticancer agent, initial studies indicate that the bioavailability of geldanamycin must be enhanced and the toxicity associated with the natural product reduced before significant progress can be made with respect to the anticancer use of geldanamycin. Chemical modifications of geldanamycin could potentially provide analogs with improved bioactivity and bioavailability. While derivatives of geldanamycin have been developed to enhance the cancer-fighting effects of the drug, the low solubility of such derivatives have required the use of emulsifying or suspending agents in order to obtain aqueous solutions. This has tended to reduce the bioavailability of the drug, and has thereby affected its utility as an anticancer agent.

The present invention addresses these problems by providing a method of producing water-soluble analogues of water-insoluble drugs and, in particular, by providing a water-soluble analogue of the anticancer drug geldanamycin. Due to its thiol ether linkage, the analogue is expected to exhibit superior bioavailability and stability under physiological conditions.

BRIEF SUMMARY OF THE INVENTION

The present invention provides a water-soluble compound of the formula

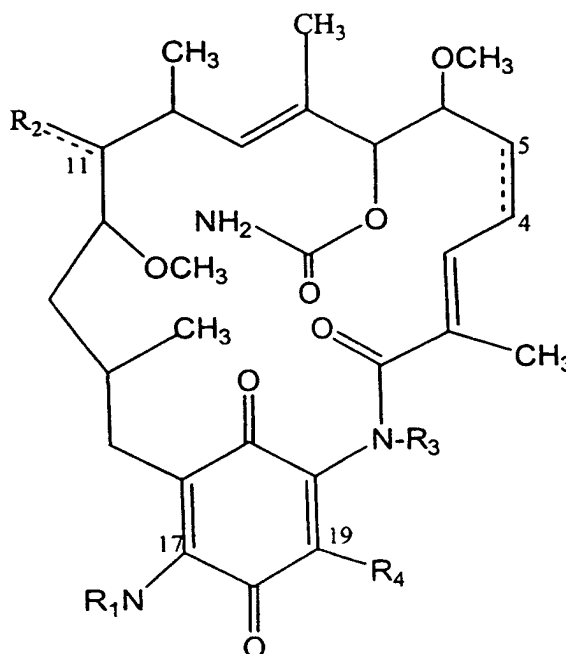
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where A is a water-insoluble drug, B₁ and B₂ together are a spacer moiety, and X is a polar moiety. The invention further provides a pharmaceutical composition comprising a pharmaceutically acceptable carrier and the above-described compound. In addition, the present invention provides a method of treating cancer in a mammal. The method comprises administering to a mammal having cancer an effective amount of the above-described compound.

The present invention further provides a method of rendering soluble in water a water-insoluble drug. The method comprises contacting a water-insoluble drug comprising a side-chain that can react with a bifunctional linking molecule with a bifunctional linking molecule comprising a maleimido functional group to obtain a first derivative of the water-insoluble drug comprising a side-chain that comprises a maleimido functional group. The method further comprises contacting the first derivative with a polar moiety comprising a thio group (X-SH) to obtain a water-soluble compound as described above.

The present invention still further provides a water-soluble compound of the formula



or a pharmaceutically acceptable salt thereof,

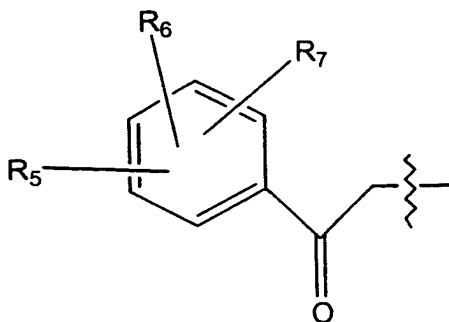
5 wherein:

R_1 is an ionic moiety bound to the carbon at position 17 via a nitrogen atom,

R_2 is a halo or an $-OR_8$ when there is a single bond between R_2 and the carbon at position 11, wherein R_8 is
 10 hydrogen, a C_1-C_8 alkylamido, a C_1-C_8 alkyl, a C_2-C_8 alkenyl, a C_2-C_8 alkynyl, a C_1-C_8 hydroxyalkyl, a C_1-C_8 alkyl carbamoyl, a C_1-C_8 alkylcarbonyl, or an aralkyl, any of which R_8 can be further substituted with one or more substituents, which can be the same or different,
 15 selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido and an amino group, or

R_2 is oxo ($=O$) or oximino ($=NOH$) when there is a double bond between R_2 and the carbon at position 11,

R_3 is selected from the group consisting of hydrogen
 20 and a group of the formula

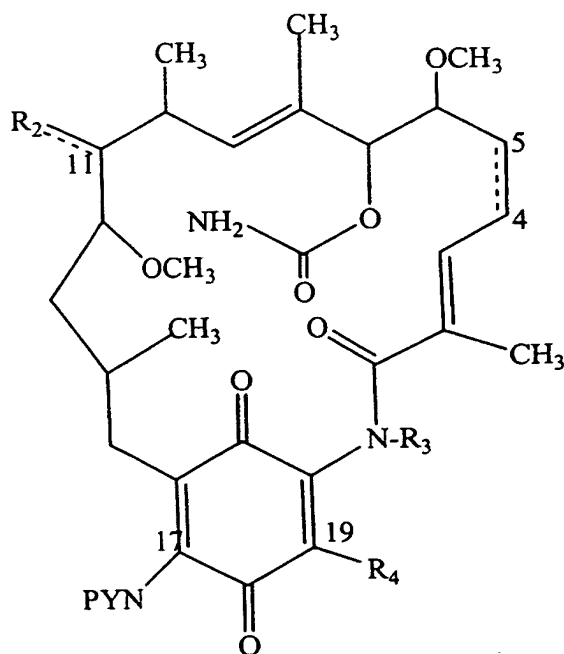


wherein R_5 , R_6 , and R_7 are each independently selected from the group consisting of hydrogen, a halo, an azido, a nitro, a C_1 - C_8 alkyl, a C_1 - C_8 alkoxy, an aryl, a cyano, and an $NR_{10}R_{11}R_{12}$, wherein R_{10} , R_{11} , and R_{12} are each independently selected from the group consisting of hydrogen and a C_1 - C_3 alkyl,

R_4 is selected from the group consisting of hydrogen, a halo, a C_1 - C_8 alkylamino, and a C_1 - C_8 dialkylamino, and the bond between the carbons at positions 4 and 5 can be a single bond or a double bond.

Also provided by the present invention is a water-soluble compound of the formula

15



or a pharmaceutically acceptable salt thereof,
wherein:

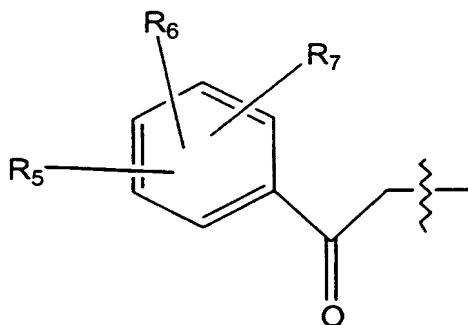
Y is a spacer group,

P is a polypeptide or a protein that selectively
5 binds to the surface of a mammalian cell,

R₂ is a halo or an -OR₈ when there is a single bond
between R₂ and the carbon at position 11, wherein R₈ is
selected from the group consisting of hydrogen, a C₁-C₈
alkylamido, a C₁-C₈ alkyl, a C₂-C₈ alkenyl, a C₂-C₈ alkynyl,
10 a C₁-C₈ hydroxyalkyl, a C₁-C₈ alkyl carbamoyl, a C₁-C₈
alkylcarbonyl, and an aralkyl, any of which R₈ groups can
be further substituted with one or more substituents,
which can be the same or different, selected from the
group consisting of a nitro, a halo, an azido, a hydroxy,
15 an amido and an amino group, or

R₂ is oxo (=O) or oximino (=NOH) when there is a
double bond between R₂ and the carbon at position 11,

R₃ is selected from the group consisting of hydrogen
and a group of the formula



20

wherein R₅, R₆, and R₇ are each independently
selected from the group consisting of hydrogen, a halo,
an azido, a nitro, a C₁-C₈ alkyl, a C₁-C₈ alkoxy, an aryl,
a cyano, and an NR₁₀R₁₁R₁₂, wherein R₁₀, R₁₁, and R₁₂ are each
25 independently selected from the group consisting of
hydrogen and a C₁-C₃ alkyl,

R_4 is selected from the group consisting of hydrogen, a halo, a C_1 - C_8 alkylamino, and a C_1 - C_8 dialkylamino, and the bond between the carbons at positions 4 and 5 can be a single bond or a double bond.

5

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a reaction scheme illustrative of the present inventive method by which the water-insoluble geldanamycin derivative is rendered water-soluble.

10

DETAILED DESCRIPTION OF THE INVENTION

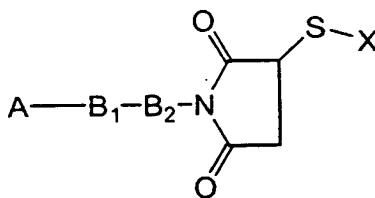
The present invention provides water-soluble compounds, in particular, a water-soluble analogue of geldanamycin, compositions comprising such water-soluble compounds and a method of producing water-soluble analogues of water-insoluble drugs. Also provided is a method of using such compounds to treat cancer.

15

Water-Soluble Drugs

20

The present inventive water-soluble compound has the formula



or a pharmaceutically acceptable salt thereof, wherein A is a water-insoluble drug, B₁ and B₂, together, are a spacer moiety, and X is a polar moiety. B₂ can be any suitable group lending a distance of at least one carbon atom, and preferably less than twenty carbon atoms (e.g., one to ten carbon atoms), between the

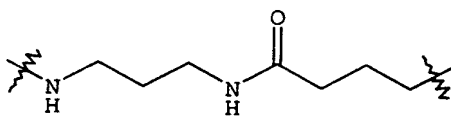
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water-insoluble drug and the maleimido functional group. Preferably, B₂ is selected from the group consisting of a C₁-C₁₉ alkylamido, a C₁-C₁₉ alkyl, a C₂-C₁₉ alkenyl, a C₂-C₁₉ alkynyl, a C₁-C₁₉ hydroxyalkyl, a C₁-C₁₉ alkylcarbonyl, and an aralkyl, any of which can be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido and an amino group. As meant herein and throughout this disclosure an "aralkyl" moiety is preferably a C₁-C₂₀ alkyl, and more preferably a C₁-C₈ alkyl, wherein an alkyl hydrogen atom is replaced by an aryl as defined herein. Examples of aralkyl radicals include benzyl, phenethyl, 1-phenylpropyl, 2-phenylpropyl, 3-phenylpropyl, 1-naphthylpropyl, 2-naphthylpropyl, 3-naphthylpropyl, 3-naphthylbutyl, and the like. The term "aryl" refers to an aromatic carbocyclic radical, as commonly understood in the art, and includes monocyclic and polycyclic aromatics such as, for example, phenyl and naphthyl radicals, which radicals are, unless indicated otherwise, optionally substituted with one or more substituents, which are the same or different, selected from the group consisting of a halogen, an alkyl, an alkoxy, an amino, a cyano, a nitro, and the like. Preferably, the aryl moiety has one or more six-membered carbocyclic rings including, for example, one to three carbocyclic rings, such as phenyl, naphthyl, and biphenyl.

More preferably B₂ is selected from a group consisting of a C₁-C₇ alkylamido, a C₁-C₇ alkyl, a C₂-C₇ alkenyl, a C₂-C₇ alkynyl, a C₁-C₇ hydroxyalkyl, a C₁-C₇ alkylcarbonyl, a C₁-C₇ alkylcarbonyl, or an aralkyl, wherein the aralkyl has one to three aryl ring structures having 5 or 6 ring atoms each, and the alkyl portion of

the aralkyl moiety has one to eight carbon atoms, and any wherein any of the foregoing B₂ groups can be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido or an amino group.

B₁ can be a methylenyl, an amido, -N=, an amino, or a thiol maleimido group. B₁ is ordinarily derived from a suitable functional group incorporated into a bifunctional (i.e., dimaleimido or heterobifunctional) linking molecule. Of course, the bifunctional linking molecule can be one that is commercially available, such as those available from Pierce, Rockford, Illinois. Commercially available bifunctional linking moieties tend to contribute a portion of the functional group to the molecules that form from their use in linking reactions. Exemplary linking reactions giving rise to some of these embodiments are depicted in the EXAMPLES section (below). A multiplicity of spacer groups can thereby be incorporated into the present inventive water-soluble drug. One particular spacer group useful in the context of the present invention has the following structure:



X can be any group that exhibits polar characteristics, including, but not limited to, the propensity to interact with other polar substances through hydrogen-bonding forces, Van der Waals forces, or dipole moments. X together with the remainder of the present inventive compound, is such that the present inventive compound is water-soluble. For purposes of the

present invention, X is preferably ionic, more preferably zwitterionic at neutral pH. Preferably, ionic polar moieties are charged (e.g., greater than about 50% charged) at neutral pH. For zwitterionic polar moieties, it is preferable for the charges to be balanced at a pH of about 4 to about 10. More preferably, the zwitterionic moiety has a zero net charge (i.e., balanced charges) at a pH of about 6 to about 8. Additionally, the zwitterionic moiety preferably has at least about 0.8 negative charges and at least about 0.8 positive charges. By way of example and for the purposes of this invention, NaCl in water contains 1.0 positive charge and 1.0 negative charge.

Polypeptides, peptides, and amino acids tend to be polar, and frequently zwitterionic moieties and are useful in the context of the present invention. Proteins suitable for use in the context of the present invention comprise polypeptides incorporating amino acids that exist in a conformation associated with a biological function or structure that is characteristic of a substantially similar molecule produced by a living cell. Preferred amino acids useful in the context of the present invention include lysine and cysteine, in particular L-cysteine, because they contain reactive side-chain nitrogen and sulfur atoms, respectively, that react easily with the functional portions of commercially available linker molecules.

Any water-insoluble drug can be used in the context of the present invention. For the purposes of this invention, the term "drug" means any compound which is biologically active, e.g., exhibits a therapeutic or prophylactic effect *in vivo*, or a biological effect *in vitro*. For example, the drug can be an antihypertension

drug, an antibiotic drug, or an anticancer drug. The present invention is particularly useful for rendering macrolide and ansamacrolide drugs water-soluble, at least in part because the efficacy of these drugs tends to be

5 limited by the amount of the drug that can be administered without causing an anaphylactic-like response (sometimes called a "toxic manifestation" by those skilled in the art in the context of cancer chemotherapy or the administration of insoluble drugs).

10 An anaphylactic-like response occurs when a water-insoluble drug, or a drug that readily precipitates at pharmacoactive concentrations in a mammal's blood is administered at above a minimum threshold rate or concentration. As is known in the art, an anaphylactic-

15 like response is accompanied by severe toxicity, swelling at the site of administration, nausea and other serious side-effects in a mammal. Geldanamycin, and geldanamycin derivatives, are particularly useful in conjunction with the present invention. Examples of geldanamycin

20 derivatives that are useful in the context of the present invention are described elsewhere herein, and in U.S. Patent Nos. 5,387,584 (to Schnur) and 4,261,989 (to Sasaki et al.), which also disclose methods for making geldanamycin derivatives.

25 The term "water-insoluble" as used herein means partially or completely insoluble in water, or partially or completely non-dispersible in water. A water-insoluble compound in the context of the present invention preferably has a solubility less than the

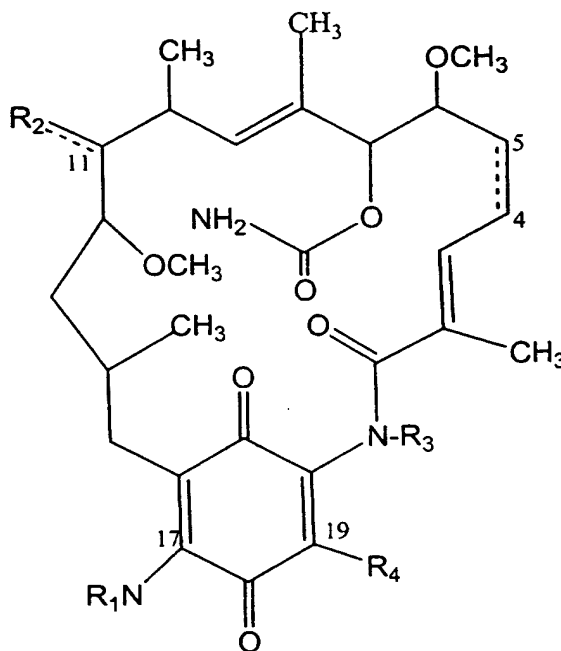
30 minimum effective concentration in physiological saline. In contrast, a "water-soluble" compound of the present invention preferably has a solubility equal to, or greater than, the minimum clinically-effective

concentration in physiological saline. A clinically-effective concentration of a derivative of an insoluble drug is a concentration that is less than the concentration that will induce an anaphylaxis-like response in a patient, and equal to, or greater than, the minimum concentration at which a therapeutic effect can be observed. Preferably, the inventive water-soluble compound is soluble to at least about 2 mM in physiological saline, more preferably to at least about 6 mM in physiological saline. A water-insoluble drug useful in the context of the present invention preferably has a solubility of less than about 2 mM, and optionally has a solubility of less than about 0.02 mM, in physiological saline. Of course, the skilled artisan will appreciate that for any particular drug of interest, these concentrations can be empirically determined and can be higher or lower. Preferably, the present inventive water-soluble drug is at least 3% as active as the water-insoluble drug from which it is obtained, and more preferably is at least 10% as active as the water-insoluble drug.

The present inventive compound can be in the form of a pharmaceutically acceptable salt. Suitable pharmaceutically acceptable acid addition salts include those derived from mineral acids, such as hydrochloric, hydrobromic, phosphoric, metaphosphoric, nitric, and sulphuric acids, and organic acids, such as tartaric, acetic, citric, malic, lactic, fumaric, benzoic, glycolic, gluconic, succinic, and arylsulphonic acids, for example *p*-toluenesulphonic acids.

Ionic Geldanamycin

The present invention also provides water-soluble derivatives of geldanamycin of the formula:



5

wherein R_1 , R_2 , R_3 , and R_4 are defined below.

R_1 is an ionic moiety bound to the carbon at position 17 via a nitrogen atom. Preferably, the ionic moiety promotes solubility in water. Additionally, R_1 is preferably an aliphatic moiety that can, but need not, comprise an aryl moiety and is substituted by one or more charged moieties. Preferred aliphatic moieties in the context of the present invention comprise organic molecules comprising less than about 200 carbon atoms and biopolymers, as that term is commonly understood in the art, including, but not limited to, proteins, nucleic acids, and polysaccharides. The charged moieties can be the same or different and can be selected from the group consisting of carbamate, carbonate, carboxylate,

phosphamate, phosphate, phosphonate, pyrophosphate, triphosphate, sulfamate, sulfate, sulfonate, a C₁-C₈ monoalkylamine that is protonated at neutral pH, a C₁-C₄ dialkylamine that is protonated at neutral pH, and a C₁-C₄ trialkylammonium. The selection of R₁ is preferably made such that it is charged at neutral pH (i.e., about pH 7). Preferably, R₁ is selected from the group consisting of a C₁-C₁₉ alkylamido, a C₁-C₁₉ alkyl, a C₂-C₁₉ alkenyl, a C₂-C₁₉ alkynyl, a C₁-C₁₉ hydroxyalkyl, a C₁-C₁₉ alkyl carbamoyl, a C₁-C₁₉ alkylcarbonyl, and an aralkyl. More preferably, R₁ is selected from the group consisting of a C₁-C₇ alkylamido, a C₁-C₇ alkyl, a C₂-C₇ alkenyl, a C₂-C₇ alkynyl, a C₁-C₇ hydroxyalkyl, a C₁-C₇ alkyl carbamoyl, a C₁-C₇ alkylcarbonyl, and a monocarbocyclic aralkyl.

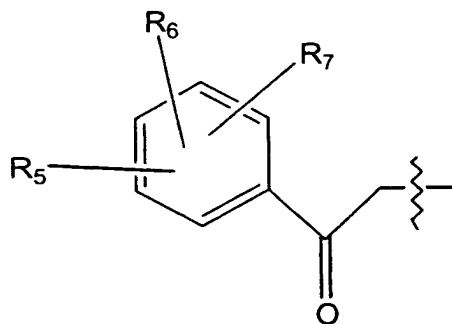
Additionally, R₁ can comprise a nucleoside (including nucleotides), a saccharide (including disaccharides, trisaccharides, and, as suggested above, polysaccharides of 4 to about 50 or 200 sugar residues). R₁ also can comprise an amino acid, in particular a naturally occurring amino acid, such as one encoded by a mammalian genome, in particular a human genome. Of these, lysine is among the preferred amino acids because the epsilon-amino group can displace the 17-methoxy group of geldanamycin to yield a soluble derivative of geldanamycin. Where R₁ is an amino acid, suitable blocking groups can be used to protect functional groups on the amino acid. For example, BOC can be used to protect the α -amino group of the amino acid (see, King et al., *Bioconjugate Chem.*, 10, 279-88 (1999)). The "blocked" 17-demethoxy-17-BOC-amino acid-geldanamycin can optionally be "unblocked" in accordance with methods well-known in the art. Additionally, it is preferable that R₁ be zwitterionic at neutral pH. Any of these R₁

moieties can be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido and an amino group.

5 R_2 can be a halo or $-OR_8$, in which case there is a single bond between R_2 and the carbon at position 11. R_8 is selected from the group consisting of hydrogen, a C_1-C_8 alkylamido, a C_1-C_8 alkyl, a C_2-C_8 alkenyl, a C_2-C_8 alkynyl, a C_1-C_8 hydroxyalkyl, a C_1-C_8 alkyl carbamoyl, a C_1-C_8 alkylcarbonyl, and an aralkyl, wherein the alkyl portion
10 of the aryl moiety preferably has one to eight carbon atoms. These R_8 groups can be further substituted with nitro, halo, azido, hydroxy, amido or amino groups.

Alternatively, R_2 is oxo ($=O$) or oximino ($=NOH$), in
15 which case R_2 is bonded to the carbon at position 11 via a double bond.

R_3 is selected from the group consisting of hydrogen and a group of the formula



20 wherein R_5 , R_6 , and R_7 are each independently selected from the group consisting of hydrogen, a halo, an azido, a nitro, a C_1-C_8 alkyl, a C_1-C_8 alkoxy, an aryl, a cyano, and an $NR_{10}R_{11}R_{12}$, wherein R_{10} , R_{11} , and R_{12} are each independently selected from the group consisting of
25 hydrogen and C_1-C_3 alkyl.

R_4 is selected from the group consisting of hydrogen, a halo, a C_1-C_8 alkylamino, and a C_1-C_8 dialkylamino, and

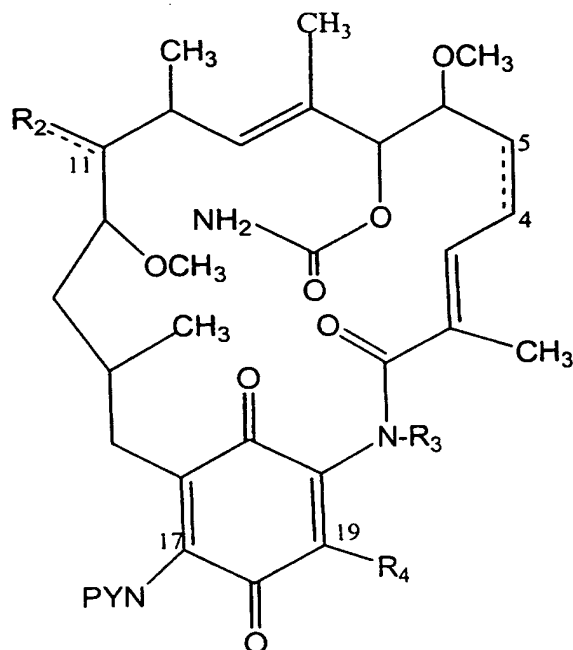
the bond between the carbons at positions 4 and 5 can be a single bond or a double bond or can be dihydrogenated.

In one particular embodiment of the present invention, the bond between the carbons at positions 4 and 5 is a double bond, and R_2 , R_3 , and R_4 are selected to correspond to the homologous groups in geldanamycin such that 17- R_1 N-17-demethoxy-geldanamycin is obtained. Those skilled in the art will also appreciate that the present invention also comprises 18, 21-dihydroquinones of the present invention. Moreover, embodiments wherein the water-soluble geldanamycin is at least 3% as effective, more preferably at least 10% as effective, as geldanamycin at stopping the proliferation of N87 cells (a gastric carcinoma, from ATCC, Rockville, MD) *in vitro* (when measured by the IC_{50} for thymidine incorporation) are preferred. While not intending to be bound by any particular theory, it is believed that 17-demethoxy-17-amino R_1 derivatives of geldanamycin are preferable to other derivatives of geldanamycin because they are either pharmaco-active or readily converted to an active form in the cell.

Selectively Targeted Geldanamycin

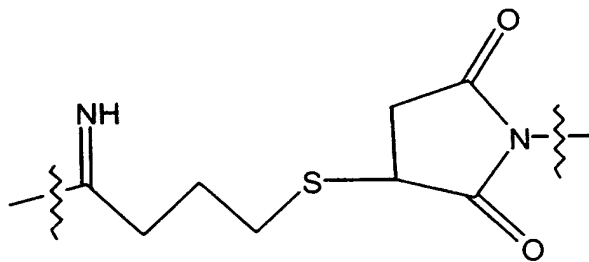
The present invention also provides a water-soluble compound of the formula:

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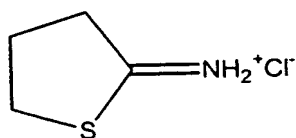


or a pharmaceutically acceptable salt thereof,
 wherein R_2 , R_3 , and R_4 are as defined above, Y is a spacer
 5 group, and P is a polypeptide or a protein that
 selectively binds to the surface of a mammalian cell.

Preferably, Y comprises a thio ether. While not
 intending to be bound by any particular theory, it is
 believed that thio ether linkages are stable in the blood
 10 of a mammal, whereas they are degraded by intracellular
 enzymes present in cells. One particular Y group useful
 in the context of the present invention comprises



Preferably, this Y moiety comprising the maleimido thiol ether is bonded to P via a lysinyl residue of P. One suitable method for achieving an embodiment of the present invention comprising this Y moiety is depicted in Figure 1, described below, and a specific embodiment is given in Example 1. This inventive method comprises exposing the protein to a suitable amount of Traut's reagent i.e.,



10

For each protein the amount of Traut's reagent is preferably determined empirically, but can be based on the deductive calculations based on antibody reactions. When P is an antibody (i.e., a protein of about 150 kDa), the molar ratio of Traut's reagent:Ab is at least about 1:1, preferably at least about 5:1, and is preferably less than about 30:1, more preferably less than 15:1. The thiolated protein is highly reactive and should be reacted with a linking molecule as soon as possible. The linking molecule, in turn, is preferably bound to the insoluble drug before the P moiety is thiolated. The reaction of the thiolated protein or polypeptide and the linking molecule is initiated, preferably less than 12 hours after completion of the traute reaction, more preferably less than about 2 hours after the traute reaction. Optionally, the reaction and product can be maintained under inert gas, such as argon.

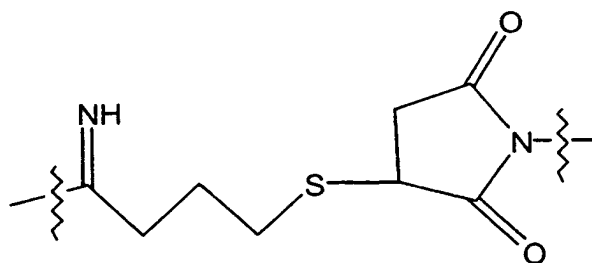
The reaction of the insoluble drug-linking molecule with the Traut's-derivatized protein is subject to statistical mechanics. Accordingly, any initial preparation (i.e., unpurified preparation) will have a

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distribution of drug:protein ratios, wherein each molecular product will have a ratio of $n:1$, wherein n is an integer (unless the protein exists in a complex), and wherein the population has an average ratio of $n:m$,
5 wherein n and m can be any positive number and need not be integers. However, it will be appreciated that too high or too low a ratio will decrease drug-efficacy and can render the drug or protein completely inactive. Accordingly, the ratio of drug:protein is preferably
10 carefully controlled.

Preferably, the drug to protein ratio, especially when P is an antibody, is at least $0.1:1$ (drug:protein), more preferably at least $0.5:1$, and more preferably at least $1:1$. Additionally, the drug:protein ratio should
15 preferably be less than about $6:1$, and more preferably less than about $3:1$. Moreover, for smaller proteins and polypeptides of about 10 kDa or less, these ratios are preferably decreased, such that the most preferred ratio is about 0.6 to about 1.4 (drug:protein).

20 In accordance with this inventive method, a preferred linking moiety comprising a 2-maleimido thiol ether with the structural formula



can be made.

Optionally, P can be a polypeptide or a protein that
25 binds to an antigen. One suitable example of such a polypeptide or protein which is useful in the context of the present invention is an antibody, or an antigenically reactive fragment thereof, which is optionally humanized.

Examples of suitable antibodies include herceptin and e21. Herceptin is a monoclonal antibody that has been humanized according to methods known in the art and which binds to, and is internalized by, cells expressing the Her2 receptor. The antibody e21 (C.R. King, Georgetown University, Washington, D.C., U.S.A.) is also an antibody that binds to Her2 and is internalized by cells expressing the Her2 receptor. The e21 antibody was raised in mice challenged with a membrane preparation of Her2-transfected mammalian cells in tissue culture. Equivalent antibodies can be raised according to standard methods known in the art.

Embodiments wherein P is an anti-Her2 antibody, or an antigenically reactive fragment thereof, are useful in the treatment of cancer, particularly breast cancer, ovarian cancer, lung cancer, and gastric cancer. Anti-Her2 antibodies *per se*, exhibit anti-proliferative effects on Her2-expressing cancer cells. In this regard, herceptin is currently approved for clinical use in the therapeutic treatment of cancer and is expected to be of particular utility in the treatment of metastatic breast cancer. Surprisingly, when geldanamycin is linked through a linking moiety, preferably one containing a thiol ether linkage, the anti-proliferative effects against breast cancer cells, e.g., SKBr3 cells (ATCC, Rockville, MD), MDA-361/DYT2 (a subclone of the well-known MDA-MB-361 cells which were selected for their ability to form tumors in athymic mice by repeated *in vivo* transfer), and N87 cells, is more effective at inhibiting the growth of the cancer cells than either of the antibody or geldanamycin (used at comparable concentrations) alone. Moreover, the toxicity of the selectively targeted geldanamycin is substantially

reduced in mammals because the conjugated geldanamycin is soluble and does not tend to induce an anaphylaxis-like response. Additionally, the adult T-cell leukemia (ATL) cell, HuT102, which is a Her2-negative cancer cell that is highly sensitive to unconjugated geldanamycin, is not sensitive to the selectively targeted geldanamycin compound of the present invention. Thus, the therapeutic index of geldanamycin and of anti-proliferative antibodies can be substantially increased by conjugation of these moieties in accordance with the present invention. While not intending to be bound by any particular theory, it is believed that the ability of e21, herceptin, and other antibodies to be efficiently internalized by target cells substantially enhances the therapeutic effect of the present inventive selectively targeted geldanamycin. Preferably, the selectively targeted geldanamycin is internalized by a mammalian cell that has a receptor for P at least five times more efficiently than another mammalian cell, or an otherwise identical cell, that does not have a receptor for P. Preferably, the selectively targeted geldanamycin of the present invention is internalized by a log phase-target cell in culture at least about 25% as rapidly as an e21:geldanamycin conjugate of the present invention is internalized into a log phase N87 cell grown in complete RPMI comprising 10% fetal calf serum, glutamine and antibiotics.

Other P moieties useful in the context of the present invention are antibodies huB4, C225 (available from Imclone or John Mendlesohn, Memorial Sloan-Kettering, New York, NY), BR96, and Zenapax. The antibody huB4 (see, Chari et al., *Cancer Research*, 55, 4079-84 (1995); Stone et al., *Blood*, 88, 1188-97 (1996))

is a humanized anti-B4 antibody that binds with high affinity to CD19 and is internalized by cells to which it binds through CD19. The antibody C225 binds with high affinity to human epidermal growth factor receptor and is internalized by cells to which it binds. C225 sensitizes bound cells to anticancer drugs, but the selectively targeted geldanamycin of the present invention will inhibit the growth of cancer cells more effectively than cancer cells treated with C225 and exposed to a pharmaceutically acceptable concentration of water-insoluble geldanamycin. Br96 is a chimeric human/mouse antibody that binds with high affinity to Lewis-Y antigen and is internalized by cells to which it is bound. Lewis-Y antigen is selectively overexpressed on human carcinoma cells (see, Tolcher, *J. Clinical Oncology*, 17, 478-484 (1999)). Any of these, or similar, antibodies can be P in the present inventive selectively targeted geldanamycin.

In other embodiments of the present inventive selectively targeted geldanamycin P can be a diabody, an Fab, an Fab', a single-chain antibody, or a single-chain Fab. These antigen-binding proteins and polypeptides can be made in accordance with methods well-known in the art. Moreover, any antigen-binding protein or polypeptide that is useful in the context of the present invention optionally can be humanized, e.g., the complementarity determining regions of the antigen-binding protein or polypeptide can be preserved, while the remainder of the protein can be replaced by suitable human sequences, in accordance with methods known in the art. Additionally, the antigen-binding protein or polypeptide can be cationized (see, Pardridge et al., *J. Pharmacol. and Exp. Therapeutics*, 286, 548-54 (1998)) by converting carboxyl

groups to extended primary amino groups. Additionally, Fv's and other antigen-binding proteins or polypeptides of the present invention can be stabilized by treatment with disulfide (see, Reiter et al, *J. Biol. Chem.*, 269, 18327 (1994)). Other suitable modifications of the antigen-binding protein are also known in the art.

Additionally, the moiety P of the present inventive selectively targeted geldanamycin can be a non-antigen-binding protein that binds to a mammalian cell and is preferably internalized by that cell. Preferably, the cell has a receptor specific for P that is overexpressed on pathogenic cells. Also preferably, the cell has a receptor for P which is expressed only or mainly on pathogenic cells. For example, P can be a secreted protein or polypeptide, such as an interleukin. Interleukin-2 is a one such suitable interleukin. Alternatively, P can be a growth factor, such as insulin, insulin-like growth factor, tumor necrosis factor, or epidermal growth factor. Other suitable embodiments of P include heregulin (see, Yang et al., *Clinical Cancer Research*, 4, 993-1004 (1998)) and vascular endothelial cell growth factor, its isoforms, and processed forms (see, Olson et al., *Int. J. Cancer*, 73, 865-70 (1997)).

25 Compositions

Any of the drug-containing compounds of the present invention can be incorporated into a pharmaceutical composition or used in a method of treating cancer as described herein with respect to the present inventive water-soluble drug.

Advantageously, these embodiments of the present invention increase efficacy by increasing geldanamycin concentration in targeted cells and by decreasing the

toxicity of the geldanamycin by increasing its solubility. While not desiring to be bound by any particular theory, it is also believed that the toxicity of geldanamycin is reduced in selectively targeted
5 embodiments of the present invention by selectively targeting geldanamycin to selected cells and by sterically blocking the geldanamycin from acting on non-targeted cells by incorporating a bulky substituent at the 17-position of geldanamycin.

10 The present inventive composition, which is preferably a pharmaceutical composition, comprises a carrier, preferably a pharmaceutically acceptable carrier, and a compound of the present invention. The pharmaceutical composition can comprise more than one
15 active ingredient, such as more than one compound of the present invention, or a compound of the present invention in combination with another pharmaceutically active agent or drug.

The carrier can be any suitable carrier. With
20 respect to pharmaceutical compositions, the carrier can be any of those conventionally used and is limited only by chemico-physical considerations, such as solubility and lack of reactivity with the active compound(s), and by the route of administration. It will be appreciated
25 by one of skill in the art that, in addition to the following described pharmaceutical composition, the compounds of the present inventive methods can be formulated as inclusion complexes, such as cyclodextrin inclusion complexes, or liposomes.

30 The pharmaceutically acceptable carriers described herein, for example, vehicles, adjuvants, excipients, and diluents, are well-known to those who are skilled in the art and are readily available to the public. It is

preferred that the pharmaceutically acceptable carrier be one which is chemically inert to the active compound(s) and one which has no detrimental side effects or toxicity under the conditions of use.

5 The choice of excipient will be determined in part by the particular compound, as well as by the particular method used to administer the composition. Accordingly, there is a variety of suitable formulations of the pharmaceutical composition of the present invention. The
10 following formulations for oral, aerosol, parenteral, subcutaneous, intravenous, intramuscular, interperitoneal, rectal, and vaginal administration are exemplary and are in no way limiting.

Injectable formulations are among those formulations
15 that are preferred in accordance with the present inventive methods. The requirements for effective pharmaceutical carriers for injectable compositions are well-known to those of ordinary skill in the art (see, e.g., *Pharmaceutics and Pharmacy Practice*, J.B.
20 Lippincott Company, Philadelphia, PA, Banker and Chalmers, eds., pages 238-250 (1982), and *ASHP Handbook on Injectable Drugs*, Toissel, 4th ed., pages 622-630 (1986)). It is preferred that such injectable compositions be administered intravenously,
25 intratumorally (within the tumor), or peritumorally (near the outside of the tumor). It will be appreciated by one of skill in the art that various of the described injectable compositions are suitable for intratumoral and peritumoral administration.

30 Topical formulations are well-known to those of skill in the art. Such formulations are particularly suitable in the context of the present invention for application to the skin.

Formulations suitable for oral administration can consist of (a) liquid solutions, such as an effective amount of the compound dissolved in diluents, such as water, saline, or orange juice; (b) capsules, sachets, tablets, lozenges, and troches, each containing a predetermined amount of the active ingredient, as solids or granules; (c) powders; (d) suspensions in an appropriate liquid; and (e) suitable emulsions. Liquid formulations may include diluents, such as water and alcohols, for example, ethanol, benzyl alcohol, and the polyethylene alcohols, either with or without the addition of a pharmaceutically acceptable surfactant. Capsule forms can be of the ordinary hard- or soft-shelled gelatin type containing, for example, surfactants, lubricants, and inert fillers, such as lactose, sucrose, calcium phosphate, and corn starch. Tablet forms can include one or more of lactose, sucrose, mannitol, corn starch, potato starch, alginic acid, microcrystalline cellulose, acacia, gelatin, guar gum, colloidal silicon dioxide, croscarmellose sodium, talc, magnesium stearate, calcium stearate, zinc stearate, stearic acid, and other excipients, colorants, diluents, buffering agents, disintegrating agents, moistening agents, preservatives, flavoring agents, and pharmacologically compatible excipients. Lozenge forms can comprise the active ingredient in a flavor, usually sucrose and acacia or tragacanth, as well as pastilles comprising the active ingredient in an inert base, such as gelatin and glycerin, or sucrose and acacia, emulsions, gels, and the like containing, in addition to the active ingredient, such excipients as are known in the art.

The present inventive compound, alone or in combination with other suitable components, can be made into aerosol formulations to be administered via inhalation. These aerosol formulations can be placed
5 into pressurized acceptable propellants, such as dichlorodifluoromethane, propane, nitrogen, and the like. They also may be formulated as pharmaceuticals for non-pressured preparations, such as in a nebulizer or an atomizer. Such spray formulations also may be used to
10 spray mucosa.

Formulations suitable for parenteral administration include aqueous and non-aqueous, isotonic sterile injection solutions, which can contain anti-oxidants, buffers, bacteriostats, and solutes that render the
15 formulation isotonic with the blood of the intended recipient, and aqueous and non-aqueous sterile suspensions that can include suspending agents, solubilizers, thickening agents, stabilizers, and preservatives. The present inventive compound can be
20 administered in a physiologically acceptable diluent in a pharmaceutical carrier, such as a sterile liquid or mixture of liquids, including water, saline, aqueous dextrose and related sugar solutions, an alcohol, such as ethanol, isopropanol, or hexadecyl alcohol, glycols, such
25 as propylene glycol or polyethylene glycol, dimethylsulfoxide, glycerol ketals, such as 2,2-dimethyl-1,3-dioxolane-4-methanol, ethers, such as poly(ethyleneglycol) 400, an oil, a fatty acid, a fatty acid ester or glyceride, or an acetylated fatty acid
30 glyceride with or without the addition of a pharmaceutically acceptable surfactant, such as a soap or a detergent, suspending agent, such as pectin, carbomers, methylcellulose, hydroxypropylmethylcellulose, or

carboxymethylcellulose, or emulsifying agents and other pharmaceutical adjuvants.

Oils, which can be used in parenteral formulations include petroleum, animal, vegetable, or synthetic oils.
5 Specific examples of oils include peanut, soybean, sesame, cottonseed, corn, olive, petrolatum, and mineral.

Suitable fatty acids for use in parenteral formulations include oleic acid, stearic acid, and isostearic acid. Ethyl oleate and isopropyl myristate
10 are examples of suitable fatty acid esters.

Suitable soaps for use in parenteral formulations include fatty alkali metal, ammonium, and triethanolamine salts, and suitable detergents include (a) cationic detergents such as, for example, dimethyl dialkyl
15 ammonium halides, and alkyl pyridinium halides, (b) anionic detergents such as, for example, alkyl, aryl, and olefin sulfonates, alkyl, olefin, ether, and monoglyceride sulfates, and sulfosuccinates, (c) nonionic detergents such as, for example, fatty amine oxides,
20 fatty acid alkanolamides, and polyoxyethylenepolypropylene copolymers, (d) amphoteric detergents such as, for example, alkyl-b-aminopropionates, and 2-alkyl-imidazoline quaternary ammonium salts, and (e) mixtures thereof.

25 The parenteral formulations will typically contain from about 0.5 to about 25% by weight of the active ingredient in solution. Preservatives and buffers may be used. In order to minimize or eliminate irritation at the site of injection, such compositions may contain one
30 or more nonionic surfactants having a hydrophile-lipophile balance (HLB) of from about 12 to about 17. The quantity of surfactant in such formulations will typically range from about 5 to about 15% by weight.

Suitable surfactants include polyethylene sorbitan fatty acid esters, such as sorbitan monooleate and the high molecular weight adducts of ethylene oxide with a hydrophobic base, formed by the condensation of propylene oxide with propylene glycol. The parenteral formulations can be presented in unit-dose or multi-dose sealed containers, such as ampoules and vials, and can be stored in a freeze-dried (lyophilized) condition requiring only the addition of the sterile liquid excipient, for example, water, for injections, immediately prior to use. Extemporaneous injection solutions and suspensions can be prepared from sterile powders, granules, and tablets of the kind previously described.

Additionally, the present inventive compounds, or compositions containing those compounds, can be made into suppositories by mixing with a variety of bases, such as emulsifying bases or water-soluble bases. Formulations suitable for vaginal administration can be presented as pessaries, tampons, creams, gels, pastes, foams, or spray formulas containing, in addition to the active ingredient, such carriers as are known in the art to be appropriate.

Method Of Treating Cancer

The present inventive compound can be used for any suitable purpose. For example, the present inventive compound can be used for scientific and research purposes, such as in determining the types of cancer which can be treated and the onset of which can be delayed or the progress of which can be slowed by administration of the present inventive compound(s).

The present inventive compound has particular usefulness in applications *in vivo*. For example, the

present inventive compound can be used in the prevention, delay of onset, slowing of progress, or treatment of cancer.

The present inventive method of treating cancer in a mammal, which is preferably a human, comprises administering to a mammal having cancer an effective amount, i.e., an anticancer effective amount, of a compound of the present invention. A preferred compound for use in the present inventive method of treating cancer is a compound comprising a protein or a polypeptide covalently bonded to 17-demethoxy-17-amino-geldanamycin or a derivative thereof, particularly wherein the derivative comprises a protein or polypeptide that binds to the surface of a cancer cell, or wherein the derivative is zwitterionic. Preferably, a protein or polypeptide bonded to 17-demethoxy-17-amino-geldanamycin or a derivative thereof, is bonded via a bifunctional linking molecule comprising a thio ether. Preferably, the protein or polypeptide binds to an antigen. Also, the compound is preferably internalized by the cell to which it is bound.

The method of treating cancer using the compound of the present invention can be made more effective by administering one or more other anticancer compounds along with one or more other compounds of the present invention. These other anticancer compounds include, but are not limited to, all of the known anticancer compounds approved for marketing in the United States and those that will become approved in the future. See, for example, Table 1 and Table 2 of Boyd, *Current Therapy in Oncology*, Section I. Introduction to Cancer Therapy (J.E. Niederhuber, ed.), Chapter 2, by B.C. Decker, Inc., Philadelphia, 1993, pp. 11-22. More particularly, these

other anticancer compounds include doxorubicin, bleomycin, vincristine, vinblastine, VP-16, VW-26, cisplatin, carboplatin, procarbazine, and taxol for solid tumors in general; alkylating agents, such as BCNU, CCNU, 5 methyl-CCNU and DTIC, for brain or kidney cancers; and antimetabolites such as 5-FU and methotrexate for colon cancer.

One skilled in the art will appreciate that suitable methods of administering compositions comprising the present inventive compound to an animal, such as a mammal, in particular a human, are available, and, although more than one route can be used to administer a particular compound, a particular route can provide a more immediate and more effective reaction than another route. Accordingly, the herein-described methods are exemplary and are in no way limiting.

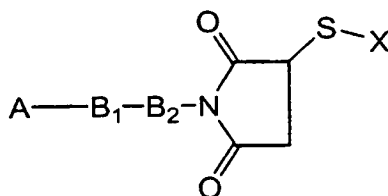
The dose administered to an animal, such as a mammal, in particular a human, should be sufficient to prevent cancer, delay its onset, or slow (or stop) its progression. One skilled in the art will recognize that dosage will depend upon a variety of factors including the strength of the particular compound employed, as well as the age, species, condition, and body weight of the animal. The size of the dose will also be determined by the route, timing, and frequency of administration as well as the existence, nature, and extent of any adverse side-effects that might accompany the administration of a particular compound and the desired physiological effect.

Suitable doses and dosage regimens can be determined by conventional range-finding techniques known to those of ordinary skill in the art. Generally, treatment is initiated with smaller dosages, which are less than the optimum dose of the compound. Thereafter, the dosage is

increased by small increments until the optimum effect under the circumstances is reached. The present inventive method will typically involve the administration of about 0.1 to about 100 mg of one or more of the compounds described above per kg body weight.

Method Of Producing A Water-Soluble Drug

The present inventive method of rendering soluble in water a water-insoluble drug comprises contacting a water-insoluble drug comprising a side-chain that can react with a bifunctional linking molecule, such as one that comprises a maleimido functional group, to obtain a first derivative of the water-insoluble drug comprising a reactive maleimido side chain. Then, by contacting the first derivative with a polar moiety comprising a thio moiety(X-SH), a water-soluble compound of the formula



or a pharmaceutically acceptable salt thereof, is obtained, wherein A is the water-insoluble drug, B₁ and B₂ together are a spacer moiety, and X is a polar moiety. The water-insoluble drug, spacer moiety, and polar moiety are as previously described.

The water-insoluble drug optionally can be first reacted with a modifying agent to provide the aforementioned side-chain on the drug. The modifying agent can be any suitable agent that can produce a side-chain on the water-insoluble drug that can react with a bifunctional linking molecule. Preferably, the water-

insoluble drug comprises a reactive methoxyaryl moiety, e.g., a methoxyquinone, that can react with a modifying agent comprising a primary amine. Reaction of the water-insoluble drug with the modifying agent then provides a demethoxy derivative of the water-insoluble drug in which the side-chain comprises a primary or secondary amine that can react with a bifunctional linking molecule. One preferred modifying agent is a diaminoalkyl, e.g., a C₁-C₂₀ alkyl comprising an amine on the first and an ultimate carbon, and is more preferably 1,3-diaminopropane or 1,4-diaminobutane.

While any one suitable bifunctional linking molecule can be used in conjunction with the present invention as described above, the linking molecule optionally can be selected from the group consisting of N-γ-maleimidobutyryloxy-succinimide ester (GMBS), sulfo-N-γ-maleimidobutyryloxysuccinimide ester (sulfo-GMBS), m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS), m-maleimidobenzoyl-N-hydroxysulfosuccinimide ester (sulfo-MBS), succinimidyl-4-[p-maleimidophenyl]butyrate (SMPB), sulfosuccinimidyl-4-[p-maleimidophenyl]butyrate (sulfo-SMPB), succinimidyl-4-[N-maleimidomethyl]cyclohexane-1-carboxylate (SMCC), sulfosuccinimidyl-4-[N-maleimidomethyl]cyclohexane-1-carboxylate (sulfo-SMCC), 4-[N-maleimidomethyl]-cyclohexane-1-carboxylhydrazide-HCl (M2C2H), and 4-[4-maleimidophenyl]-butyric acid hydrazide-HCl (MPBH). Most preferably, the bifunctional linking molecule is sulfo-N-γ-maleimidobutyryloxysuccinimide ester (sulfo-GMBS).

30

Method Of Making A Water-Soluble Geldanamycin

Geldanamycin (1 of Figure 1) comprises a 17-methoxy moiety that is reactive with a primary amine in an

organic solvent. Accordingly, any 17-methoxy geldanamycin or its derivative can be reacted with a primary amine to give a geldanamycin analogue that is reactive with a polar moiety or a functional group of a mono- or bi-functional molecule or linking molecule. Example 2 depicts various reaction schemes that can be used by those skilled in the art to make the present inventive compounds. Figure 1 illustrates a reaction of 3-amino-n-propylamine with geldanamycin. The 3-amino-N-propylamine can be replaced with 3-sulfhydryl-n-propylamine to create a geldanamycin that is reactive with succinimidyl functional groups, rather than the maleimidyl functional group illustrated in Figure 1. Alternatively, lysine, or preferably α -amino blocked-lysine (which can optionally be de-blocked subsequently), can be directly reacted with geldanamycin to make a water-soluble derivative of geldanamycin, wherein the lysinyl residue is the polar moiety, and wherein the polar moiety is ionic or zwitterionic. Additionally, the solvent system used to contact the geldanamycin can be modified to facilitate the reaction. For example, when lysine is the primary amine and is contacted to geldanamycin, it is acceptable to use a 5:5:1 mixture of chloroform:methanol:water, and preferable to use a 1:1 mixture of chloroform:methanol. Of course, suitable substitutions for chloroform and methanol are within the spirit and scope of the present invention.

Various variations within the spirit and the scope of the present disclosure will be readily apparent to those of skill in the art. Moreover, any suitable, and preferably anticancer-effective, derivative of geldanamycin can be substituted for the geldanamycin. Such derivatives are well-known in the art. For example,

U.S. Patents 5,387,584 (to Schnur) and 4,261,989 (to Sasaki et al.) disclose geldanamycin derivatives and methods for making the same.

5

EXAMPLES

The following examples further illustrates the present invention but, of course, should not be construed as limiting the scope of the claimed invention in any
10 way.

Example 1

This example illustrates the preparation of a water-soluble analogue of a water-insoluble drug in accordance
15 with the present invention.

Geldanamycin 1 (see Figure 1 for compounds referred to herein by number) was reacted with diaminopropane in chloroform to yield a mixture comprising 17-aminopropylaminogeldanamycin 2 by way of the following
20 reaction. Geldanamycin (0.500 g, 0.0008918 mol) was dissolved in chloroform (200 ml). Diaminopropane (0.074 ml, 0.0008918 mol) was added dropwise to the reaction flask and stirred at room temperature. The reaction was monitored by thin layer chromatography (TLC) at regular
25 intervals for the formation of the product.

Subsequent reaction of compound 2 with sulfo-N-g-maleimidobutyryloxysuccinimide ester (sulfo-GMBS) gave an intermediate 3 that could undergo Michael addition with compounds containing a thiol group. To accomplish this,
30 a mixture of 17-aminopropylaminogeldanamycin 2 (0.1000 g, 0.000166 mol) and sulfo-GMBS (0.0951 g, 0.0002489 mol) were stirred in chloroform at room temperature. The reaction mixture was partitioned between chloroform (200

ml) and water (100 ml). The chloroform fraction was separated, dried with sodium sulfate, and concentrated to dryness to give 17-GMB-aminopropylaminogeldanamycin 3.

Compound 3 was reacted with L-cysteine to give the final product 17-cys-GMB-aminopropylaminogeldanamycin 4, which is water-soluble. To achieve the final product, a mixture of compound 3 (0.0500 g, 0.0000651 mol) and L-cysteine (0.0316 g, 0.00026 mol) was stirred in dimethylformamide (DMF) (4 ml) at room temperature overnight. The reaction was monitored on a silica TLC plate (10% MeOH/CH₂Cl₂) that showed the desired product to be a purple spot at the point of origin. The reaction mixture was concentrated by using ethanol to form an azeotrope with DMF to give the crude reaction mixture (0.1074 g).

The reaction mixture was purified on C18 solid-phase extraction (SPE) columns with water and methanol (MeOH). Twelve 6-ml C18 SPE columns were conditioned with MeOH (12 ml for each column) and water (12 ml for each column). Then the sample was dissolved in water (12 ml) and applied to the twelve SPE columns (1 ml solution for each column). Each of the columns was eluted with water (3 ml) and MeOH (6 ml). The combined MeOH fractions were concentrated to give the final product 4, which was found to be pure by NMR and FAB-MS analyses.

The analyses of compounds 2 through 4 were carried out by NMR and FAB-MS. Since there was a change of polarity from compound 3 to compound 4, it should be noted that compound 3 was analyzed in both CD₂Cl₂ and d₄-methanol for its comparison with compounds 2 and 4, respectively. Extensive 1D and 2D NMR analysis allowed the unequivocal assignment of most of the proton and carbon signals, except for carbons 29-32 in the five-

membered ring. This was due to the fact that the thiol ether at carbon 30 was added from both sides of the plane of the ring, resulting in a diastereomeric pair.

Therefore, carbons 24 through 34 showed two peaks and
5 added further complexity in the spectrum. Taking the NMR and FAB-MS data as a complementary set, the structure for compound 4 was confirmed.

Additionally, the present example was repeated wherein diaminobutane was substituted for diaminopropane.
10 This substitution facilitated reaction kinetics, and accordingly, is preferred for considerations pertaining to the efficiency of compound synthesis.

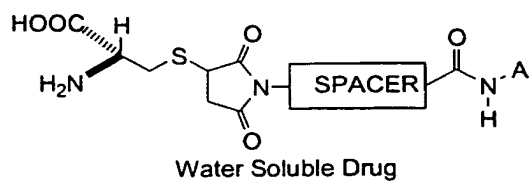
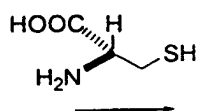
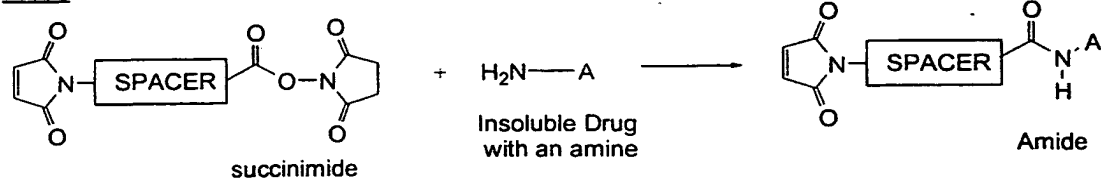
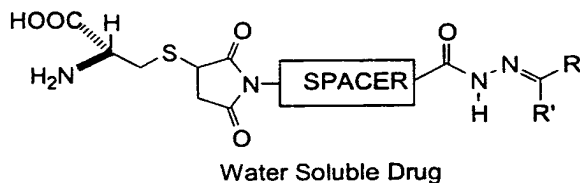
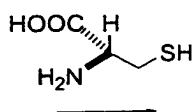
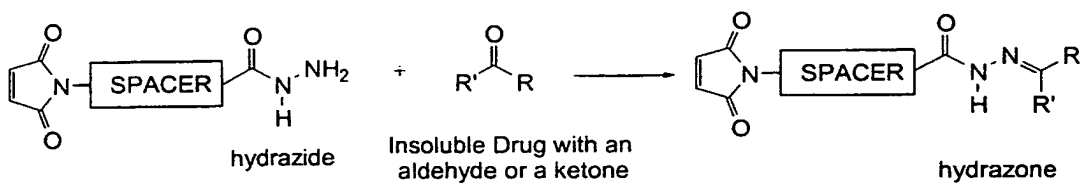
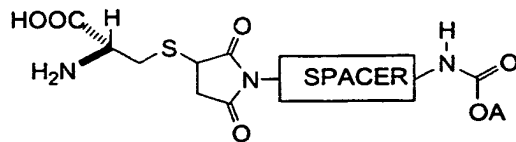
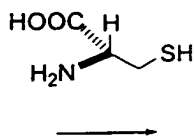
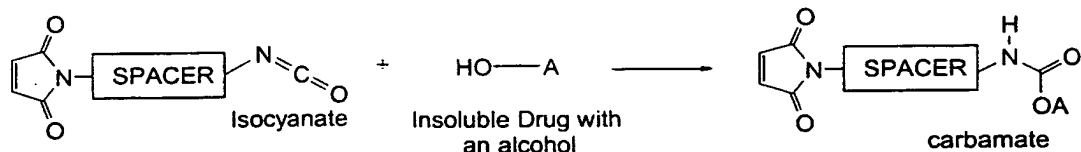
Thus, the present invention provides an exemplary reaction sequence that converts a water-insoluble
15 compound (e.g., 1, geldanamycin) to a water-soluble compound e.g., 4, in four, or preferably three steps. The skilled artisan will appreciate that similar embodiments of the present invention can be readily discerned from the teachings of this example.

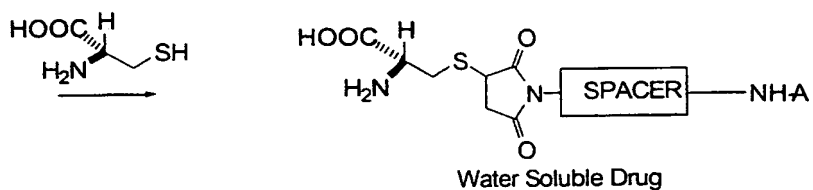
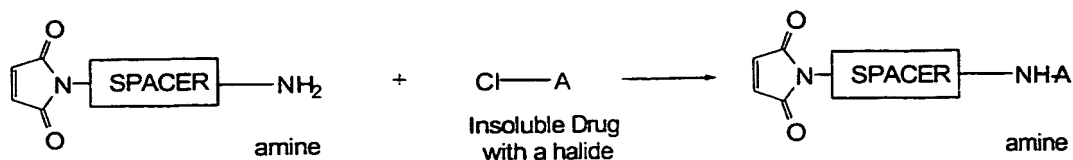
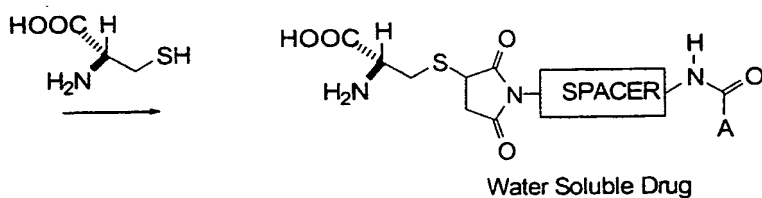
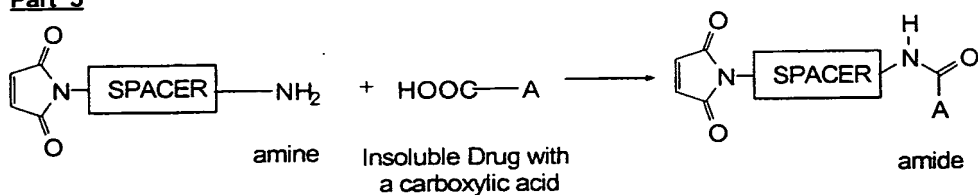
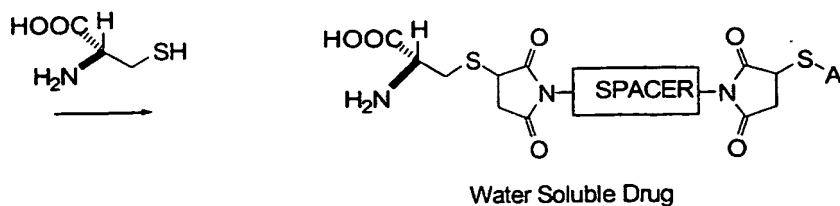
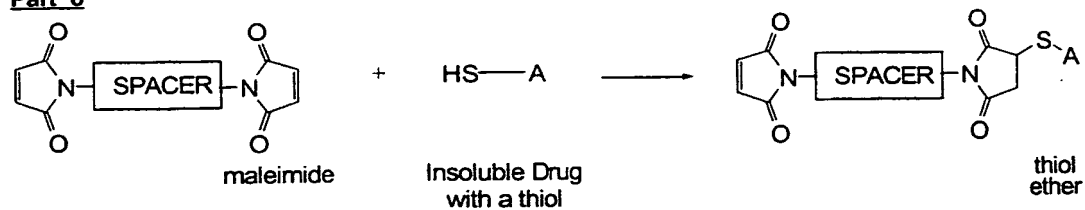
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Example 2

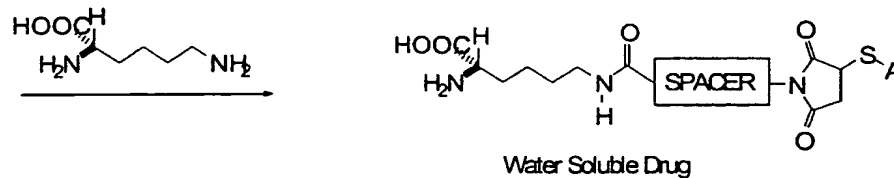
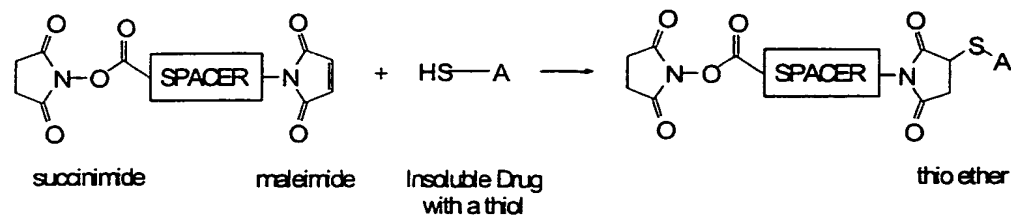
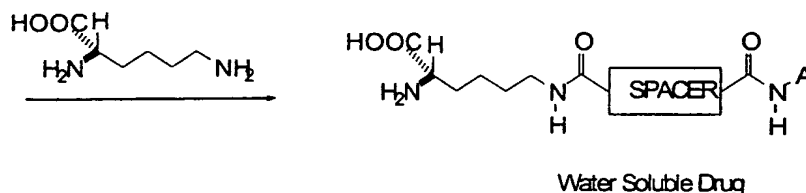
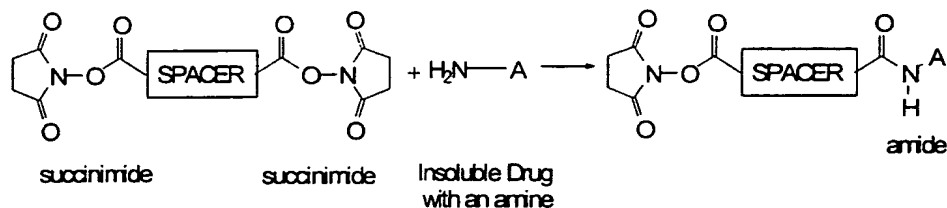
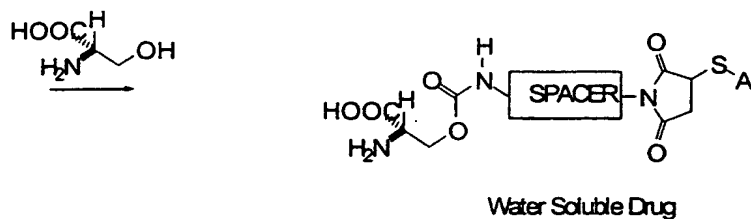
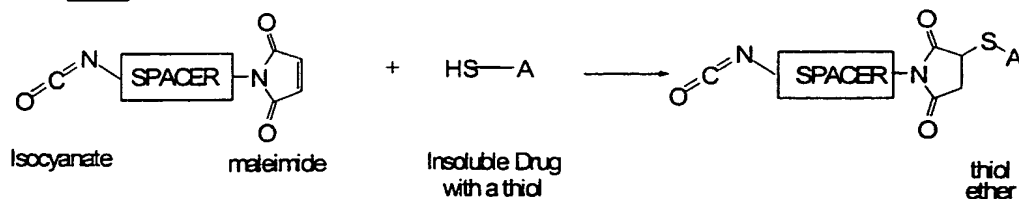
This example illustrates nine reactions by which the chemical reactions set forth in Example 1 can be modified to arrive suitably at other compounds of the present
25 invention. The general conditions of these reactions are known in the art and can be adapted to use in the context of the present invention without undue experimentation.

38

Part 1**Part 2****Part 3**

Part 4**Part 5****Part 6**

40

Part 7**Part 8****Part 9****Example 3**

This example demonstrates that suitable embodiments of the present inventive incorporating geldanamycin have

a higher therapeutic index than insoluble geldanamycin, because of a higher solubility and a lower toxicity.

This example employs three antibodies, e21, AE1 (from Landolfi, Protein Design Labs, California), and anti-Tac (i.e., Zenapax from Hoffman-LaRoche, Inc., Nutley, NJ). The antibodies e21 and AE1 bind Her2 with high affinity, and anti-Tac binds CD25 with high affinity. All three antibodies were radiolabeled and incubated with cells expressing the respective ligands on their cell surfaces (N87 cells for e21 and AE1 and HuT102 cells for anti-Tac). Both N87 cells and HuT102 cells are cancer cells that are known to be sensitive to the effects of geldanamycin. (HuT102 cells are cultured cells from an ATL patient available from the inventor's laboratories.) The cells were washed with dilute acid to remove unincorporated radiolabel, and the amount of radiolabel remaining in the cells was measured as an indication of the amount of antibody internalized.

For e21, 10% of the radiolabel was taken up by N87 cells, while for AE1 cells only 0% to 2% of radiolabel was taken up by N87 cells. For anti-Tac, no significant quantity of radiolabel was taken up by HuT102 cells. Accordingly, e21 is efficiently internalized by cells expressing Her2 on the cell surface, whereas AE1 and anti-Tac are not internalized in significant quantities.

N87 cells were separately treated with e21, geldanamycin, and a present inventive selectively targeted geldanamycin comprising e21 and geldanamycin ("e21:geldanamycin conjugate"; per the method depicted in Figure 1, except that the e21 antibody was treated with Traut's reagent to generate free sulfhydryl groups). The e21 antibody alone did not have a substantial effect on the proliferation of N87 cells, which was measured by

tritiated-thymidine incorporation (a standard method in the art). Geldanamycin inhibited 50% of the N87 proliferation at a concentration of 8 nanomolar; 17-aminopropylamino-geldanamycin at 180 nanomolar. In contrast, the e21:geldanamycin conjugate inhibited 50% of the N87 proliferation at a concentration of about 300 nanomolar. Thus, both geldanamycin and the e21:geldanamycin conjugate effectively inhibit the growth of N87 cells, which express a receptor (Her2) for e21. However, in a clinical setting, unconjugated geldanamycin is toxicity-limited, due to its tendency to precipitate in a mammal's blood and to cause anaphylaxis and other serious side effects. Accordingly, conjugated e21:geldanamycin can be administered at a much higher concentration, which will be seen to give rise to a higher therapeutic index relative to unconjugated geldanamycin.

In contrast, AE1 similarly conjugated to geldanamycin did not inhibit N87 proliferation by more than about 25%. Similarly, HuT102 cells, which are sensitive to the effects of geldanamycin, were not substantially inhibited by an anti-Her2:geldanamycin conjugate made in accordance with the method disclosed above. These data show that selectively targeted geldanamycin conjugates have a markedly reduced effect on cells that do not bind to the conjugate. Accordingly, the toxicity to non-targeted cells is substantially reduced. This, of course, allows the skilled clinician to administer more of the drug to a mammal in need thereof, and further increases the therapeutic index of the present inventive selectively targeted geldanamycin.

Example 4

This example demonstrates that 17-demethoxy-17-aminoderivatives of geldanamycin are effective inhibitors of cancer cell growth. N87 cells were exposed to the 17-demethoxy-17-aminoderivative of geldanamycin indicated in Table 1 below, and the concentration at which the proliferation of the N87 cells was inhibited by 50% was determined in nanomolar units.

10 Table 1.

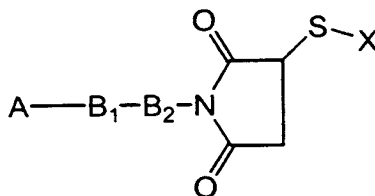
<u>17-substituent</u>	<u>IC50 (nM)</u>
OCH ₃ (geldanamycin)	8.4
NH(CH ₂) ₃ NH ₂	180
NH ₂	8.3
NHCH ₂ CH=CH ₂	5.7
NH(CH ₂) ₂ Cl	0.6
NH(CH ₂) ₂ OH	76
NH(CH ₂) ₂ NH ₂	Not effective

All publications cited herein are hereby incorporated by reference to the same extent as if each publication was individually and specifically indicated to be incorporated by reference and was set forth in its entirety herein.

While the foregoing invention has been described in some detail for purposes of clarity and understanding, it will be appreciated by one skilled in the art from a reading of this disclosure that various changes in form and detail can be made without departing from the true spirit and scope of the invention as defined by the claims herein.

WHAT IS CLAIMED IS:

1. A water-soluble compound of the formula



5 wherein:

A is a water-insoluble drug;

B₁ and B₂ together are a spacer moiety; and

X is a polar moiety;

or a pharmaceutically acceptable salt of said

10 compound.

2. The compound of claim 1, wherein

B₁ is selected from the group consisting of a methylenyl, an amido, -N=, an amino, and a thiol
15 maleimido, and

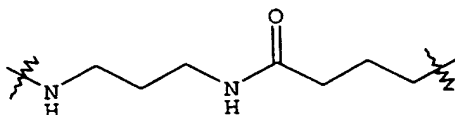
B₂ is selected from the group consisting of a C₁-C₁₉ alkylamido, a C₁-C₁₉ alkyl, a C₂-C₁₉ alkenyl, a C₂-C₁₉ alkynyl, a C₁-C₁₉ hydroxyalkyl, a C₁-C₁₉ alkyl carbamoyl, a C₁-C₁₉ alkylcarbonyl, and an aralkyl, any of which can be
20 further substituted with one or more substitutents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido, and an amino group.

- 25 3. The compound of claim 2, wherein

B₂ is selected from the group consisting of a C₁-C₇ alkylamido, a C₁-C₇ alkyl, a C₂-C₇ alkenyl, a C₂-C₇ alkynyl, a C₁-C₇ hydroxyalkyl, a C₁-C₇ alkyl carbamoyl, a C₁-C₇

alkylcarbonyl, and an aralkyl, any of which can be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido and an amino group.

4. The compound of claim 3, wherein said spacer moiety has the structure



10

5. The compound of any of claims 1-4, wherein said polar moiety is an amino acid, a peptide, a polypeptide, or a protein.

15

6. The compound of claim 5, wherein said polar moiety is L-cysteine.

20

7. The compound of any of claims 1-4, wherein said polar moiety is ionic at neutral pH.

8. The compound of claim 7, wherein said compound is zwitterionic at neutral pH.

25

9. The compound of any of claims 1-8, wherein said water-insoluble drug is an anticancer drug.

10. The compound of any of claims 1-8, wherein said water-insoluble drug is a macrolide or an ansamacrolide.

30

11. The compound of any of claims 1-8, wherein said drug is geldanamycin or a derivative thereof.

12. The compound of any of claims 1-8, wherein said drug is an anti-hypertension drug.

5 13. The compound of any of claims 1-8, wherein said water-insoluble drug is an antibiotic drug.

14. A pharmaceutical composition comprising a pharmaceutically acceptable carrier and a compound of any
10 of claims 1-13.

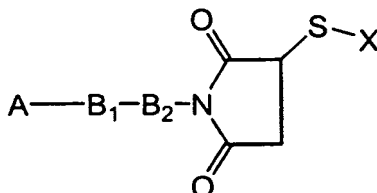
15. A method of treating cancer in a mammal, which method comprises administering to a mammal having cancer an anticancer effective amount of a compound of any of
15 claims 1-11.

16. A method of rendering soluble in water a water-insoluble drug, which method comprises:

(i) providing a water-insoluble drug comprising a
20 side-chain that can react with a bifunctional linking molecule;

(ii) contacting said water-insoluble drug with said bifunctional linking molecule to obtain a first derivative comprising a maleimide side-chain;

25 (iii) contacting said first derivative with a thio containing polar moiety (X-SH) to obtain a water-soluble compound of the formula



wherein:

A is a water-insoluble drug;

B₁ and B₂ together are a spacer moiety; and

X is a polar moiety;

5 or a pharmaceutically acceptable salt of said compound.

17. The method of claim 16, wherein

10 B₁ is selected from the group consisting of methylenyl, an amido, -N=, an amino, and a thiol maleimido, and

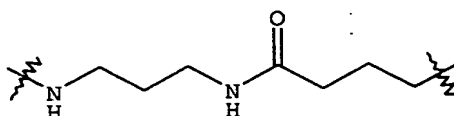
B₂ is selected from the group consisting of a C₁-C₁₉, alkylamido, a C₁-C₁₉, alkyl, a C₂-C₁₉, alkenyl, a C₂-C₁₉,
15 alkynyl, a C₁-C₁₉, hydroxyalkyl, a C₁-C₁₉, alkyl carbamoyl, a C₁-C₁₉, alkylcarbonyl, and an aralkyl, any of which can be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an
20 amido and an amino group.

18. The method of claim 17, wherein

B₂ is selected from the group consisting of a C₁-C₇, alkylamido, a C₁-C₇, alkyl, a C₂-C₇, alkenyl, a C₂-C₇, alkynyl,
25 a C₁-C₇, hydroxyalkyl, a C₁-C₇, alkyl carbamoyl, a C₁-C₇, alkylcarbonyl, and an aralkyl, any of which can be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an
30 amido, and an amino group.

19. The method of claim 18, wherein said spacer moiety has the structure

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20. The method of any of claims 16-19, wherein step
(i) comprises contacting a water-insoluble drug with a
5 modifying agent to provide a water-insoluble drug
comprising a side-chain that can react with a
bifunctional linking molecule.

21. The method of claim 20, wherein said water-
10 insoluble drug comprises a methoxyaryl moiety that can
react with said modifying agent, and said modifying agent
comprises a primary amine, whereupon reacting said water-
insoluble drug with said modifying agent, a demethoxy
15 derivative of said water-insoluble drug comprising a
portion of said modifying agent as a side chain is
provided and wherein said portion of said modifying agent
can react with said bifunctional linking molecule.

22. The method of claim 20 or 21, wherein said
20 modifying agent is a diaminoalkane.

23. The method of claim 22, wherein said
diaminoalkane is 1,3-diaminopropane or 1,4-diaminobutane.

24. The method of any of claims 16-23, wherein said
25 thio containing polar moiety is a polypeptide or a
protein.

25. The method of any of claims 16-24, wherein said
30 thio containing polar moiety is an amino acid.

26. The method of claim 25, wherein said amino acid is cysteine.

27. The method of any of claims 16-26, wherein said water-insoluble drug is an anticancer drug.

28. The method of any of claims 16-27, wherein said water-insoluble drug is an antibiotic drug.

29. The method of any of claims 16-27, wherein said water-insoluble drug is an anti-hypertension drug.

30. The method of any of claims 16-27, wherein said water-insoluble drug is a macrolide or an ansamacrolide.

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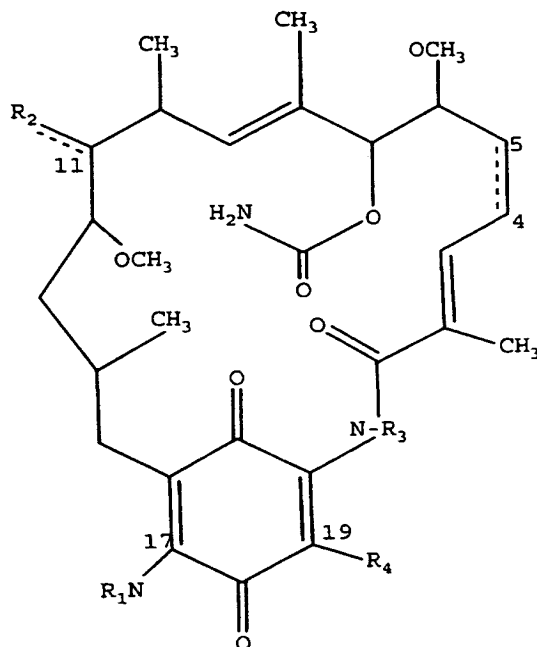
31. The method of any of claims 16-27, wherein said water-insoluble drug is geldanamycin or a derivative of geldanamycin.

32. The method of any of claims 16-32, wherein said bifunctional linking molecule is selected from the group consisting of N- γ -maleimidobutyryloxysuccinimide ester (GMBS), sulfo-N- γ -maleimidobutyryloxysuccinimide ester (sulfo-GMBS), *m*-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS), *m*-maleimidobenzoyl-N-hydroxysulfosuccinimide ester (sulfo-MBS), succinimidyl 4-[*p*-maleimidophenyl]butyrate (SMPB), sulfosuccinimidyl 4-[*p*-maleimidophenyl]butyrate (sulfo-SMPB), succinimidyl 4-[N-maleimidomethyl]cyclohexane-1-carboxylate (SMCC), sulfosuccinimidyl 4-[N-maleimidomethyl]cyclohexane-1-carboxylate (sulfo-SMCC), 4-[N-maleimidomethyl]-cyclohexane-1-carboxylhydrazide-HCl (M2C2H), and 4-[4-maleimidophenyl]-butyric acid hydrazide-HCl (MPBH).

33. The method of claim 32, wherein said bifunctional linking molecule is sulfo-N- γ -maleimidobutyryloxysuccinimide ester (sulfo-GMBS).

5

34. A water-soluble compound of the formula



or a pharmaceutically acceptable salt thereof,
wherein:

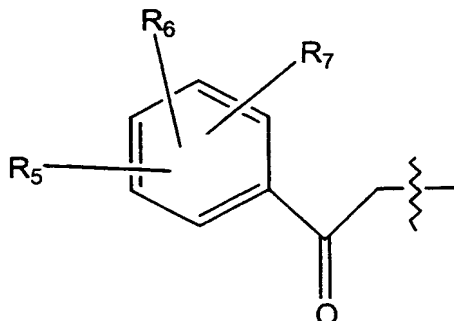
10 R₁ is an ionic moiety bound to the carbon at
position 17 via a nitrogen atom,

R_2 is a halo or an $-OR_8$ when there is a single bond between R_2 and the carbon at position 11, wherein R_8 is selected from the group consisting of hydrogen, a C_1-C_8 alkylamido, a C_1-C_8 alkyl, a C_2-C_8 alkenyl, a C_2-C_8 alkynyl, a C_1-C_8 hydroxyalkyl, a C_1-C_8 alkyl carbamoyl, a C_1-C_8 alkylcarbonyl, and an aralkyl, any of which R_8 groups can be further substituted with one or more substituents, which can be the same or different, selected from the

group consisting of a nitro, a halo, an azido, a hydroxy, an amido and an amino groups, or

R_2 is oxo ($=O$) or oximino ($=NOH$) when there is a double bond between R_2 and the carbon at position 11,

5 R_3 is selected from the group consisting of hydrogen and a group of the formula



wherein R_5 , R_6 , and R_7 are each independently selected from the group consisting of hydrogen, a halo, an azido, a nitro, a C_1 - C_8 alkyl, a C_1 - C_8 alkoxy, an aryl, a cyano, and an $NR_{10}R_{11}R_{12}$, wherein R_{10} , R_{11} , and R_{12} are each independently selected from the group consisting of hydrogen and a C_1 - C_3 alkyl,

R_4 is selected from the group consisting of hydrogen, a halo, a C_1 - C_8 alkylamino, and a C_1 - C_8 dialkylamino, and the bond between the carbons at positions 4 and 5 can be a single bond or a double bond.

35. The compound of claim 34, wherein R_1 is an aliphatic moiety which optionally comprises an aryl ring, wherein said aliphatic moiety is substituted by one or more charged moieties, which can be the same or different, selected from the group consisting of carbamate, carbonate, carboxylate, phosphamate, phosphate, phosphonate, pyrophosphate, triphosphate, sulfamate, sulfate, sulfonate, a C_1 - C_8 monoalkylamine that

is protonated at neutral pH, a C₁-C₄ dialkylamine that is protonated at neutral pH, and a C₁-C₄ trialkylammonium, such that R₁ is charged at neutral pH.

5 36. The compound of claim 35, wherein R₁ is selected from the group consisting of a C₁-C₁₉ alkylamido, a C₁-C₁₉ alkyl, a C₂-C₁₉ alkenyl, a C₂-C₁₉ alkynyl, a C₁-C₁₉ hydroxyalkyl, a C₁-C₁₉ alkyl carbamoyl, a C₁-C₁₉ alkylcarbonyl, and an aralkyl, any of which can be
10 further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido, and an amino group.

15 37. The compound of claim 36, wherein R₁ is selected from the group consisting of a C₁-C₇ alkylamido, a C₁-C₇ alkyl, a C₂-C₇ alkenyl, a C₂-C₇ alkynyl, a C₁-C₇ hydroxyalkyl, a C₁-C₇ alkyl carbamoyl, a C₁-C₇ alkylcarbonyl, and a monocarbocyclic aralkyl any of which
20 can be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido, and an amino group..

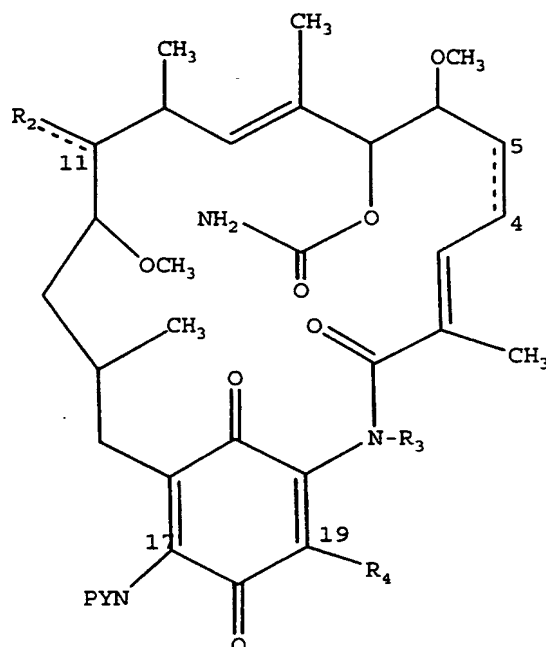
25 38. The compound of claim 36 or 37, wherein said aliphatic moiety comprises a moiety selected from the group consisting of a nucleoside, a saccharide, and an amino acid.

30 39. The compound of claim 36 or 37, wherein said aliphatic moiety comprises an amino acid.

40. The compound of claim 39, wherein said amino acid is lysine.

41. The compound of any of claims 34-40, wherein R₁
5 is zwitterionic at neutral pH.

42. A water-soluble compound of the formula



10 or a pharmaceutically acceptable salt thereof,
 wherein:

Y is a spacer group,

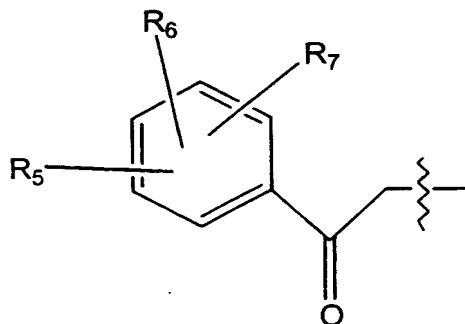
P is a polypeptide or a protein that selectively binds to the surface of a mammalian cell,

15 R_2 is a halo or an $-OR_8$ when there is a single bond
between R_2 and the carbon at position 11, wherein R_8 is
selected from the group consisting of hydrogen, a C_1-C_8
alkylamido, a C_1-C_8 alkyl, a C_2-C_8 alkenyl, a C_2-C_8 alkynyl,
a C_1-C_8 hydroxyalkyl, a C_1-C_8 alkyl carbamoyl, a C_1-C_8
20 alkylcarbonyl, and an aralkyl, any of which R_8 groups can

be further substituted with one or more substituents, which can be the same or different, selected from the group consisting of a nitro, a halo, an azido, a hydroxy, an amido, and an amino group, or

5 R_2 is oxo ($=O$) or oximino ($=NOH$) when there is a double bond between R_2 and the carbon at position 11,

R_3 is selected from the group consisting of hydrogen and a group of the formula



10 wherein R_5 , R_6 , and R_7 are each independently selected from the group consisting of hydrogen, a halo, an azido, a nitro, a C_1 - C_8 alkyl, a C_1 - C_8 alkoxy, an aryl, a cyano, and an $NR_{10}R_{11}R_{12}$, wherein R_{10} , R_{11} , and R_{12} are each independently selected from the group consisting of

15 hydrogen and a C_1 - C_3 alkyl,

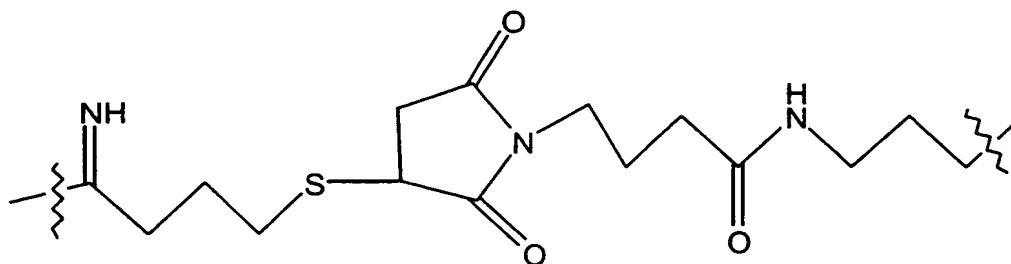
R_4 is selected from the group consisting of hydrogen, a halo, a C_1 - C_8 alkylamino, and a C_1 - C_8 dialkylamino, and the bond between the carbons at positions 4 and 5 can be a single bond or a double bond.

20

43. The compound of claim 42, wherein Y comprises a thio ether.

44. The compound of claim 43, wherein P comprises a
25 lysine and Y is bonded to P via said lysine.

45. The compound of claim 43 or 44, wherein Y is



5 46. The compound of any of claims 41-46, wherein said protein or polypeptide binds to an antigen.

 47. The compound of claim 46, wherein said protein or polypeptide is an antibody, or an antigenically
10 reactive fragment thereof, wherein said antibody is optionally humanized.

 48. The compound of claim 47, wherein said protein is herceptin or e21.

15

 49. The compound of claim 47, wherein said antibody is selected from the group consisting of huB4, BR96, and Zenapax.

20 50. The compound of claim 47, wherein said antibody is C225.

 51. The compound of claim 47, wherein said protein is selected from the group comprising a diabody, a Fab, a
25 Fab'₂, a single-chain antibody, and a single-chain Fab.

 52. The compound of claim 41-46, wherein said polypeptide or protein is a secreted by a cell.

53. The compound of claim 52, wherein said polypeptide or protein is an interleukin.

5 54. The compound of claim 53, wherein said interleukin is interleukin-2.

55. The compound of claim 52, wherein said protein is a growth factor.

10

56. The compound of claim 52, wherein said polypeptide or protein is vascular endothelial growth factor or epidermal growth factor.

15 57. The compound of claim 52, wherein said polypeptide or protein is heregulin.

58. The compound of any of claims 42-57, wherein said polypeptide or protein binds to a receptor of a cell
20 of a mammal, and wherein said compound is internalized into said cell of a mammal.

59. A method of treating cancer in a mammal, which method comprises administering to a mammal having cancer
25 an anticancer effective amount of a compound comprising a polypeptide or protein covalently bonded to 17-demethoxy-17-amino-geldanamycin or a derivative thereof, wherein said polypeptide or protein binds to the surface of a cancer cell.

30

60. The method of claim 59, wherein said polypeptide or protein is bonded to said 17-demethoxy-17-

amino-geldanamycin or a derivative thereof via a spacer moiety comprising a thio ether.

61. The method of claim 59 or 60 wherein said
5 polypeptide or protein binds to an antigen.

62. The method of any of claims 59-61, wherein said compound is internalized by said cancer cell.

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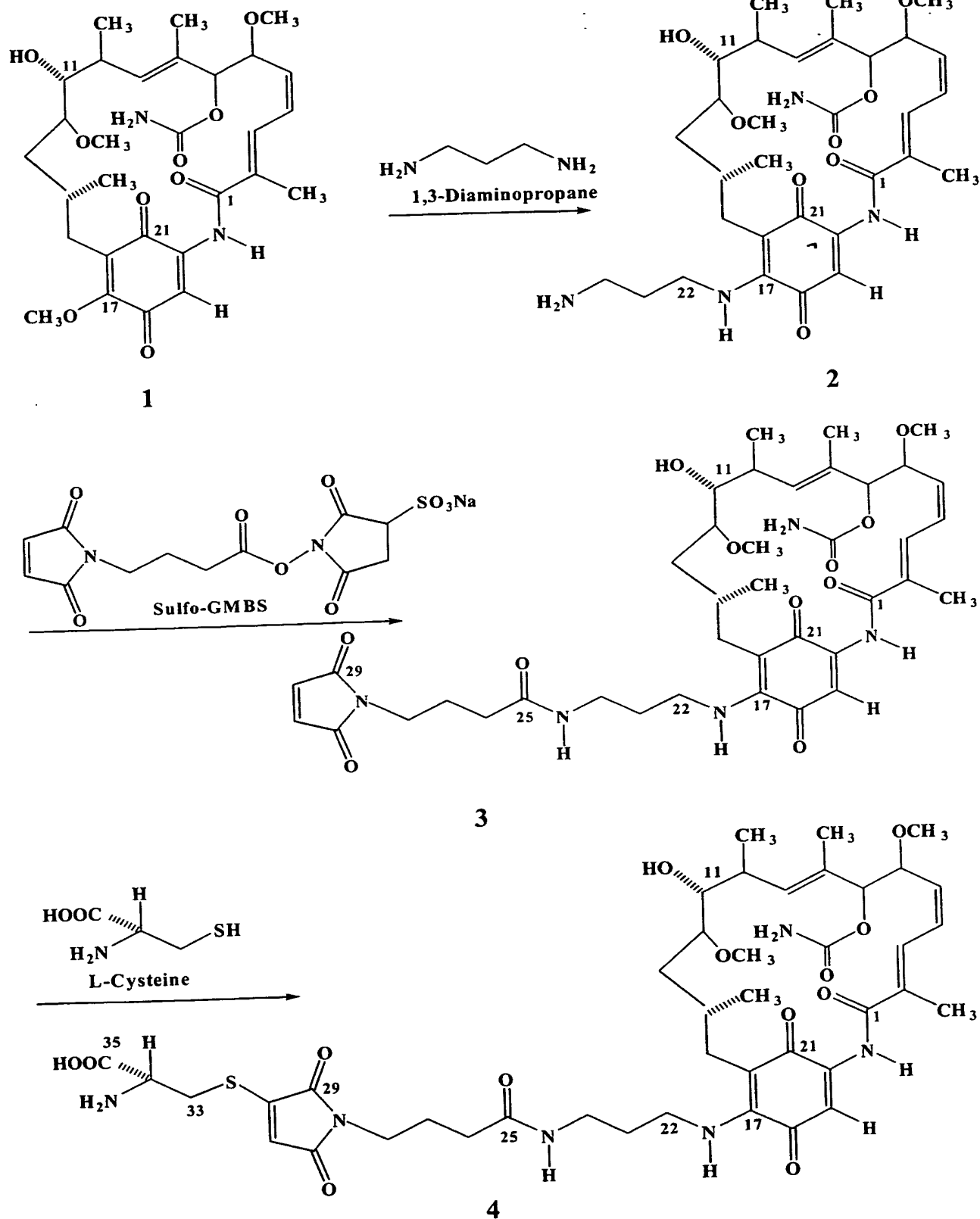


FIG. 1

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/16199

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K47/48 A61P35/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category ^o	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 610 140 A (GOODFELLOW VAL S ET AL) 11 March 1997 (1997-03-11) abstract column 4 - column 12, see especially column 10, lines 54 - 62, column 11, line 66 - column 12, line 2 and compounds 1-4 examples I-VI claims 1-10 --- -/--	1-33

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

^o Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

8 February 2000

Date of mailing of the international search report

71 8. 02.00

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
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Authorized officer

Taylor, G.M.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/16199

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 087 616 A (MYERS ANDRE E ET AL) 11 February 1992 (1992-02-11) abstract column 9, line 23 -column 10, line 17 compounds II, VIII and IX column 12, line 3 - line 10 column 13, line 9 - line 12 column 13, line 38 - line 44 examples 1,2 column 19, line 50 - line 55 claims 1-10 ---	1-33
X	WO 94 06750 A (MERCK & CO INC ;MERCK FROSST CANADA INC (CA); TYLER PETER C (NZ);) 31 March 1994 (1994-03-31) abstract page 5, line 5 -page 15, line 12 page 15, line 30 -page 16, line 9 claims 6-15 ---	1-4,7,8, 14-33
X	US 5 606 030 A (EMINI EMILIO A ET AL) 25 February 1997 (1997-02-25) abstract column 2, line 57 -column 4, line 11 column 7, line 25 -column 8, line 24 column 10, line 5 - line 16 column 13, line 5 - line 11 table I claims 1,2 ---	1-3,5,7, 8,14,15
A	US 4 261 989 A (SASAKI KAZUYA ET AL) 14 April 1981 (1981-04-14) cited in the application abstract column 1, line 38 -column 2, line 41 column 4, line 20 - line 62 claims 1-14 ---	34-41
A	US 5 387 584 A (SCHNUR RODNEY C) 7 February 1995 (1995-02-07) cited in the application abstract column 1, line 20 -column 2, line 42 claims 1-9 ---	34-41
A	WO 96 40251 A (PANASIK NICHOLAS JR ;SHEKHANI MOHAMMED SALEH (US); FIRCA JOSEPH R) 19 December 1996 (1996-12-19) abstract claims 1-50 -----	42-62

INTERNATIONAL SEARCH REPORT

International application No.
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Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-33

Water-soluble compounds of water-insoluble drugs containing the 4-thio-maleimido moiety.

2. Claims: 34-41

Water-soluble derivatives of geldanamycin having an ionic moiety bound to the carbon at position 17 via a nitrogen atom.

3. Claims: 42-62

Water-soluble derivatives of geldanamycin having a polypeptide or protein bound to the carbon at position 17 via a spacer and a nitrogen atom, the polypeptide or protein being one which selectively binds to the surface of a mammalian cell.

INTERNATIONAL SEARCH REPORT

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